



Upgrade of the ALICE Inner Tracking System

L. Musa - CERN

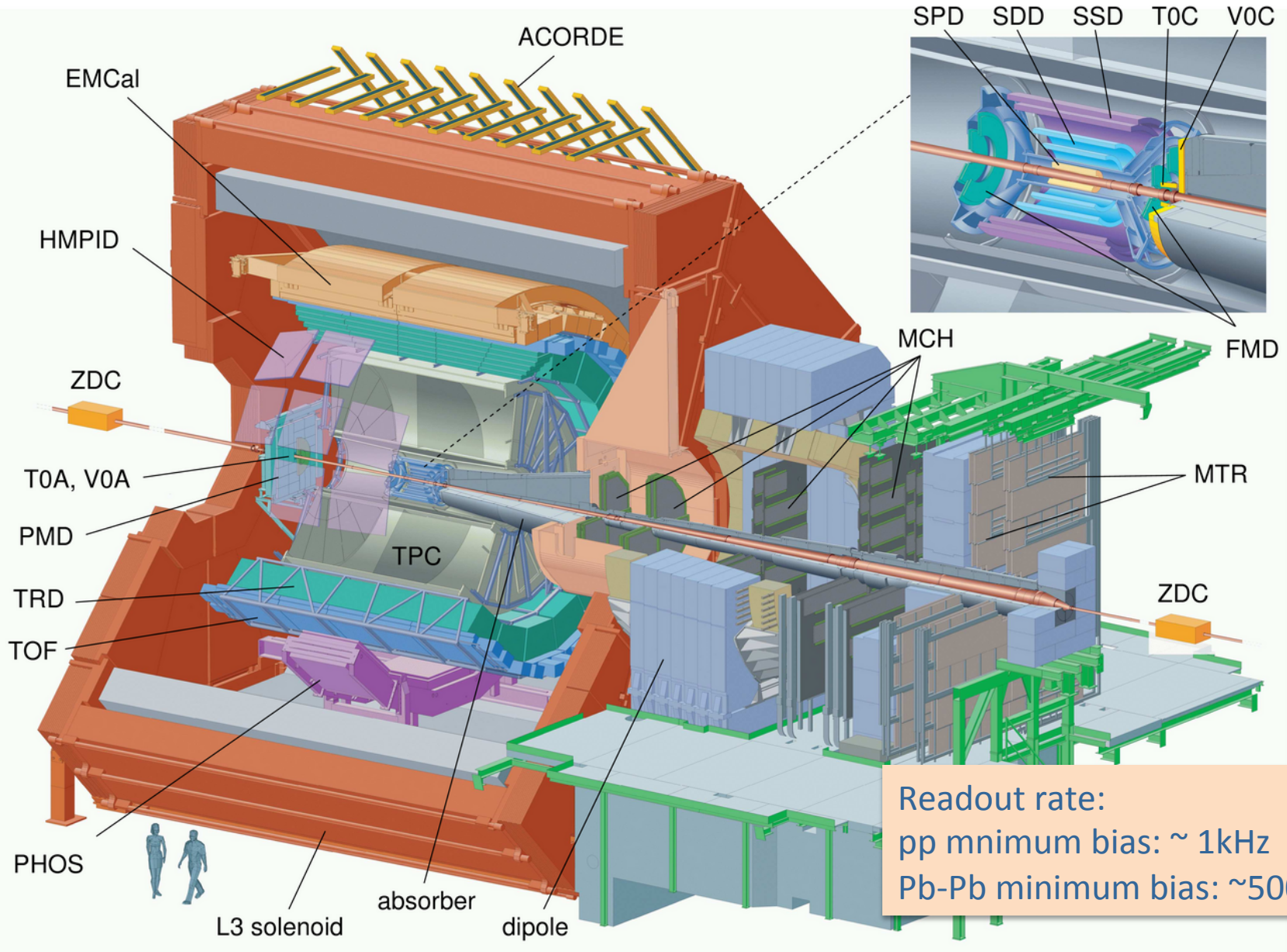
*Workshop on Heavy Flavor in HI Collisions
Berkeley, California – US, 8 - 10 January 2015*

Upgrade of the ALICE Inner Tracking System

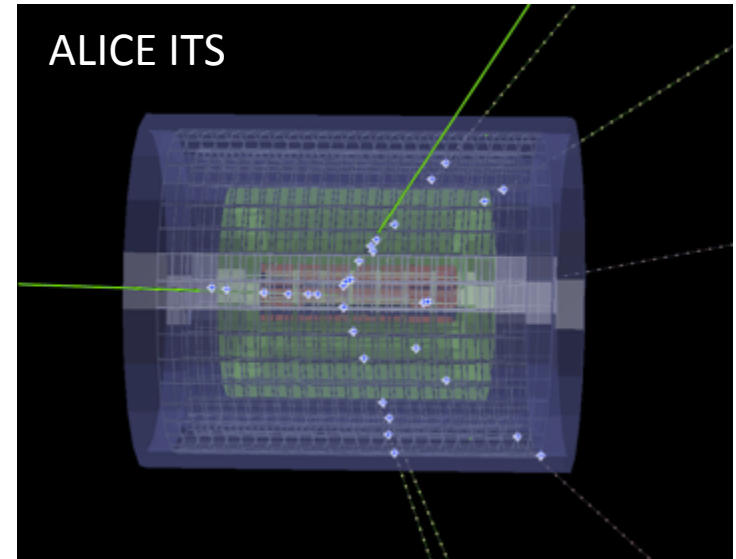
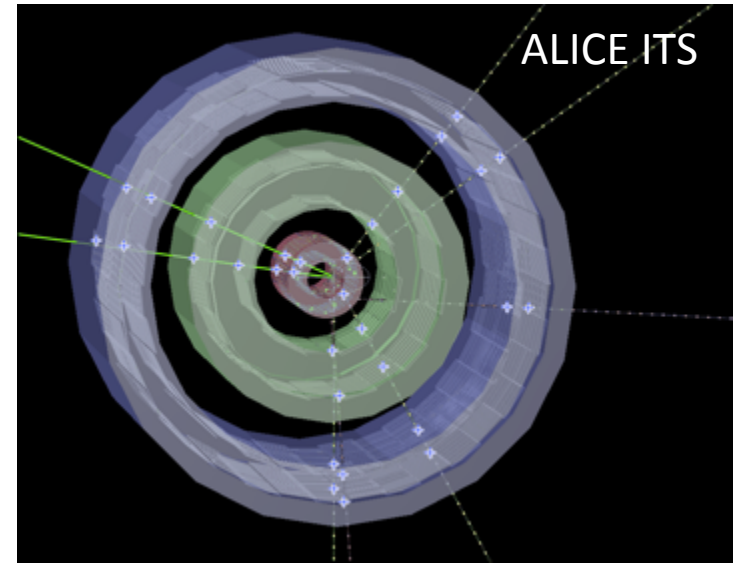
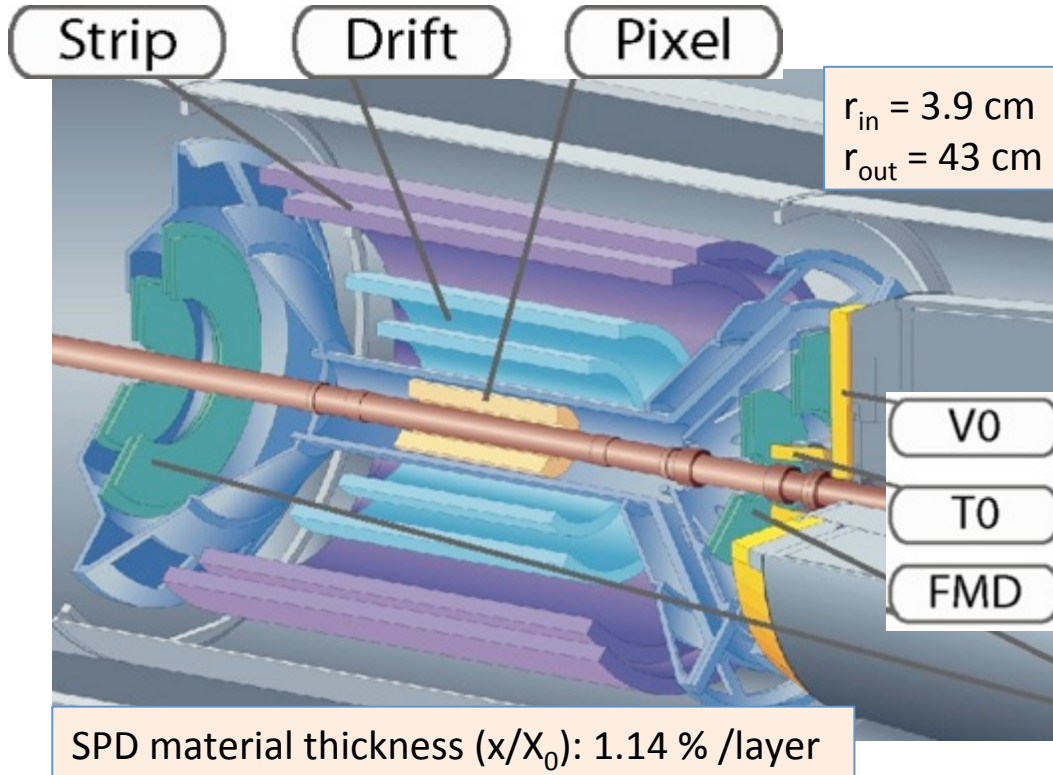
OUTLINE

- ⦿ ALICE current set-up
- ⦿ Upgrade motivations and objectives
- ⦿ ITS upgrade layout and main components
- ⦿ Detector simulated performance: some examples

The Current ALICE Detector



The Current ALICE Inner Tracking System

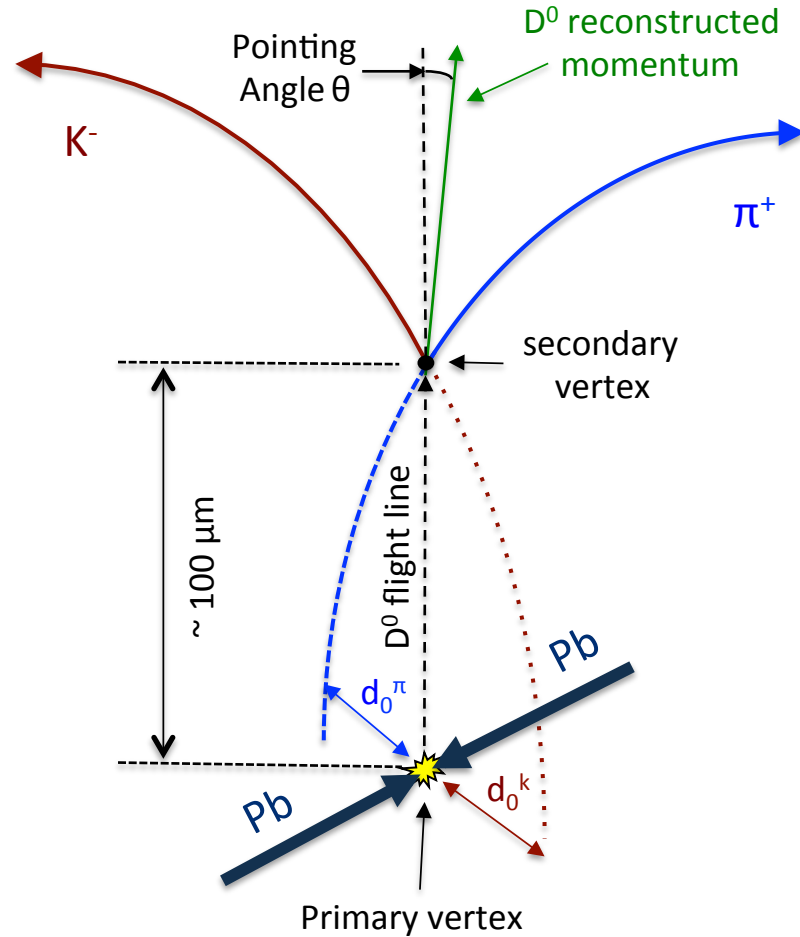


Current ITS

6 concentric barrels, 3 different technologies

- 2 layers of silicon pixel (SPD)
- 2 layers of silicon drift (SDD)
- 2 layers of silicon strips (SSD)

Example: D^0 meson



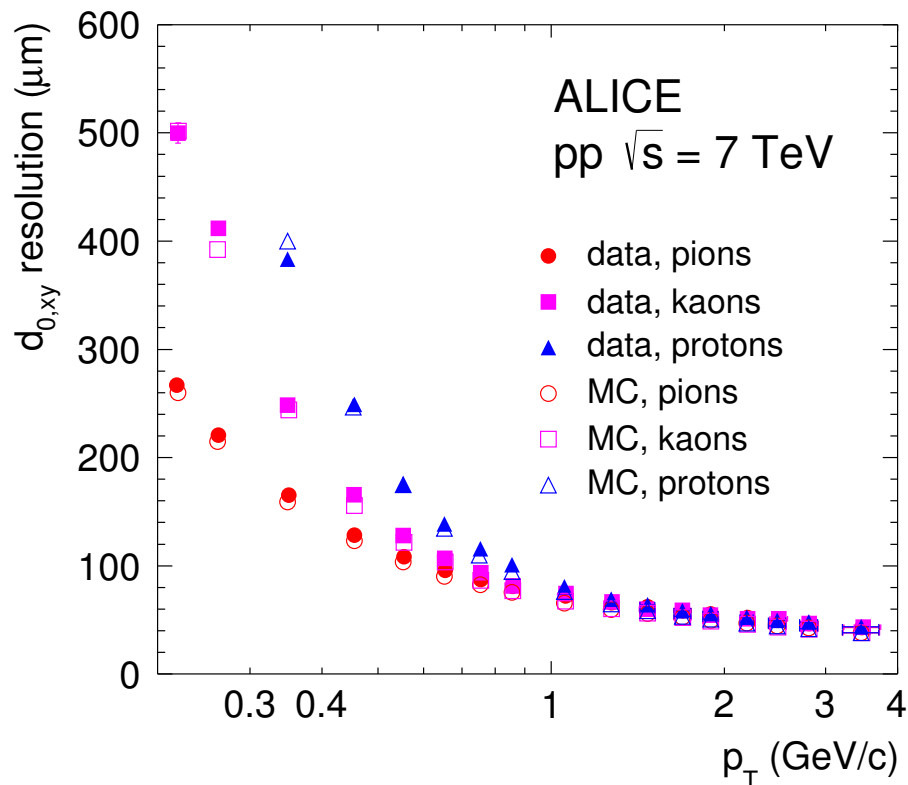
Open charm

Particle	Decay Channel	$c\tau$ (μm)
D^0	$K^- \pi^+$ (3.8%)	123
D^+	$K^- \pi^+ \pi^+$ (9.5%)	312
D_s^+	$K^+ K^- \pi^+$ (5.2%)	150
Λ_c^+	$p K^- \pi^+$ (5.0%)	60

How precisely is d_0 measured with the current ITS detector?

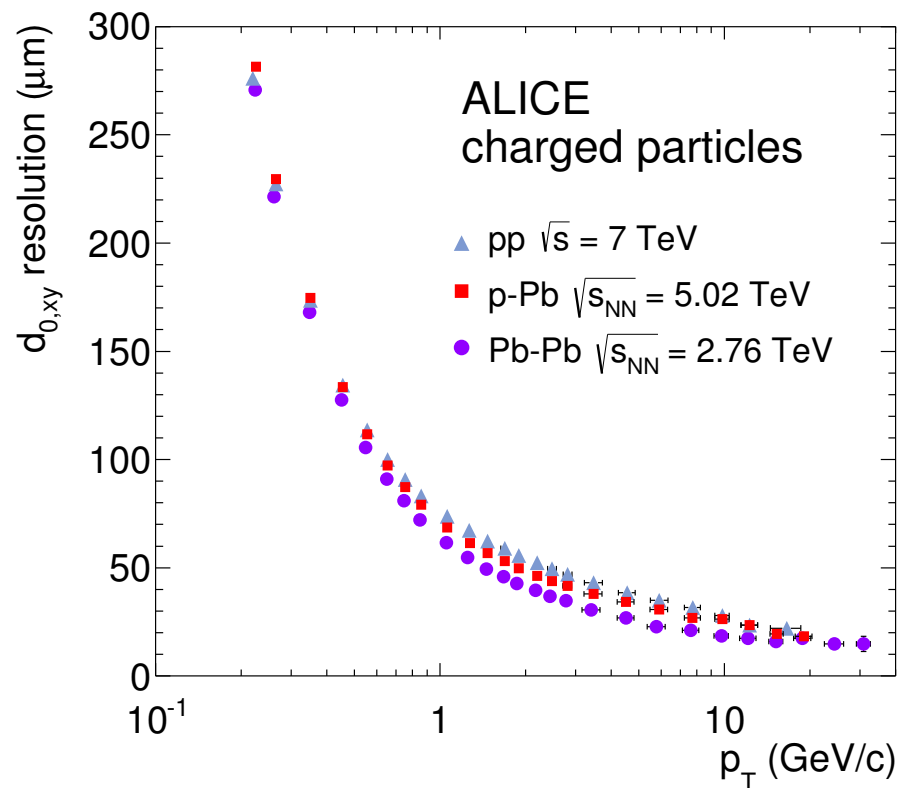
Analysis based on decay topology and invariant mass technique

Very good MC description



ALICE, Int. J. Mod. Phys. A29 (2014) 1430044

Very weak dependence on the colliding system



ALICE, Int. J. Mod. Phys. A29 (2014) 1430044

70 μm at $p_T = 1$ GeV/c

Minimum bias Pb-Pb at 5.5 Tev

Particle	Eff	S/ev	S/B	B'/ev	trigger / event	S/nb^{-1}
D^0	0.02	$1.6 \cdot 10^{-3}$	0.03	0.21	0.11	$1.3 \cdot 10^7$
D_s^+	0.01	$4.6 \cdot 10^{-4}$	0.01	0.18	0.09	$3.7 \cdot 10^6$
Λ_c	0.01	$1.4 \cdot 10^{-4}$	$5 \cdot 10^{-5}$	11	0.5	$1.1 \cdot 10^6$
$\Lambda_c (p_t > 2 Gev/c)$	0.01	$0.8 \cdot 10^{-4}$	0.001	0.33	0.16	$0.6 \cdot 10^6$
$B \rightarrow D^0(\rightarrow K^- \pi^+)$	0.02	$0.8 \cdot 10^{-4}$	0.03	$11 \cdot 10^{-3}$	$5 \cdot 10^{-3}$	$0.6 \cdot 10^6$
$B \rightarrow J/\psi(\rightarrow e^+ e^-)$	0.1	$1.3 \cdot 10^{-5}$	0.01	$5 \cdot 10^{-3}$	$3 \cdot 10^{-3}$	$1 \cdot 10^5$
$B^+ \rightarrow J/\psi K^+$	0.01	$0.5 \cdot 10^{-7}$	0.01	$2 \cdot 10^{-5}$	$1 \cdot 10^{-5}$	$4 \cdot 10^{-3}$
$B^+ \rightarrow \bar{D}^0 \pi^+$	0.01	$1.9 \cdot 10^{-7}$	0.01	$8 \cdot 10^{-5}$	$4 \cdot 10^{-5}$	$1.5 \cdot 10^3$
$B_s^0 \rightarrow J/\psi \phi$	0.01	$1.1 \cdot 10^{-8}$	0.01	$4.4 \cdot 10^{-6}$	$2 \cdot 10^{-6}$	$9 \cdot 10^1$
$\Lambda_b(\rightarrow \Lambda_c + e^-)$	0.01	$0.7 \cdot 10^{-6}$	0.01	$2.8 \cdot 10^{-4}$	$14 \cdot 10^{-5}$	$5 \cdot 10^3$
$\Lambda_b(\rightarrow \Lambda_c + h^-)$	0.01	$0.7 \cdot 10^{-5}$	0.01	$2.8 \cdot 10^{-3}$	$1.4 \cdot 10^{-3}$	$5 \cdot 10^4$

We assume a trigger efficiency $\epsilon_{\text{trigger}} = 100\%$

B' = background in the broad invariant mass range (e.g. $\pm 12\sigma$)

An IDEAL “charm trigger” would select almost all events

High precision measurements of rare probes at low p_T , which cannot be selected with a trigger, require a large sample of events recorded on tape

Target

- Pb-Pb recorded luminosity $\geq 10 \text{ nb}^{-1} \rightarrow 8 \times 10^{10} \text{ events}$
- pp (@5.5 TeV) recorded luminosity $\geq 6 \text{ pb}^{-1} \rightarrow 1.4 \times 10^{11} \text{ events}$

Gain a factor **100** in statistics over approved programme

... and significant improvement of vertexing and tracking capabilities

I. Upgrade the ALICE readout systems and online systems to

- read out all Pb-Pb interactions at a maximum rate of **50kHz** (i.e. $L = 6 \times 10^{27} \text{ cm}^{-1}\text{s}^{-1}$), with a minimum bias trigger
- Perform **online data reduction** based on reconstruction of clusters and tracks (tracking used only to filter out clusters not associated to reconstructed tracks)

II. Improve vertexing and tracking at low p_T

- The upgrade plans entails building

- New, high-resolution, high-rate ITS
- Upgrade of TPC with replacement of MWPCs with GEMs and new pipelined readout electronics
- Upgrade of readout electronics of: TRD, TOF, PHOS and Muon Spectrometer
- Upgrade of the forward trigger detectors and ZDC
- Upgrade of the online systems (DAQ & HLT)
- Upgrade of the offline reconstruction framework

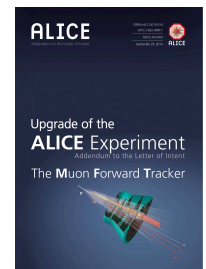
02

- New 5-plane silicon telescope in front of the hadron absorber covering the acceptance of the muon Spectrometer

- It targets 2018/19 (LHC 2nd Long Shutdown)



LoI
Sep 2012



Add. LoI
Sep 2013

ITS upgrade design objectives

1. Improve impact parameter resolution by a factor of ~ 3

- Get closer to IP (position of first layer): 39mm \rightarrow 22mm
- Reduce x/X_0 /layer: $\sim 1.14\%$ \rightarrow $\sim 0.3\%$ (for inner layers)
- Reduce pixel size: currently $50\mu\text{m} \times 425\mu\text{m}$ \rightarrow $O(30\mu\text{m} \times 30\mu\text{m})$

2. Improve tracking efficiency and p_T resolution at low p_T

- Increase granularity:
 - 6 layers \rightarrow 7 layers
 - silicon drift and strips \rightarrow pixels

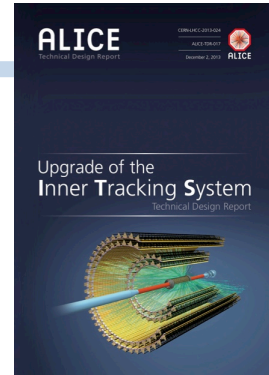
3. Fast readout

- readout Pb-Pb interactions at > 50 kHz and pp interactions at \sim several 10^5 Hz (currently limited at 1kHz with full ITS and ~ 3 kHz without silicon drift)

4. Fast insertion/removal for yearly maintenance

- possibility to replace non functioning detector modules during yearly shutdown

Install detector during LHCC LS2 (2018-19)



CERN-LHCC-2013-24



J. Phys. G (41) 087002

New ITS Layout



12.5 G-pixel camera
(~10 m²)

η coverage: $|\eta| \leq 1.22$
for tracks from 90% most
luminous region

r coverage:
23 – 400 mm

Outer layers

Middle layers

Inner layers

Beam pipe

7 layers of MAPS

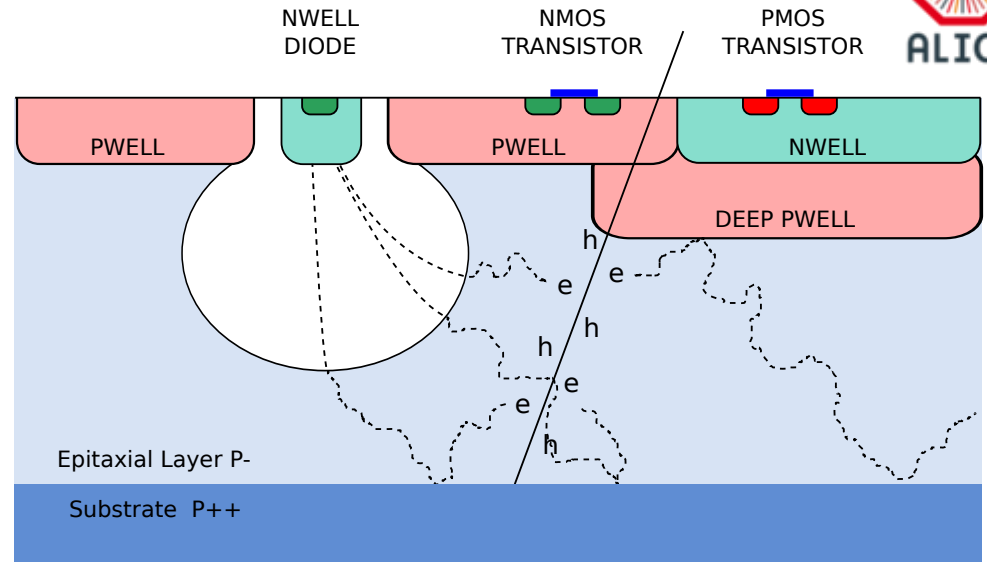
700 krad/ 10¹³ 1 MeV n_{eq}
includes safety factor 10

ITS CMOS Pixel Sensor

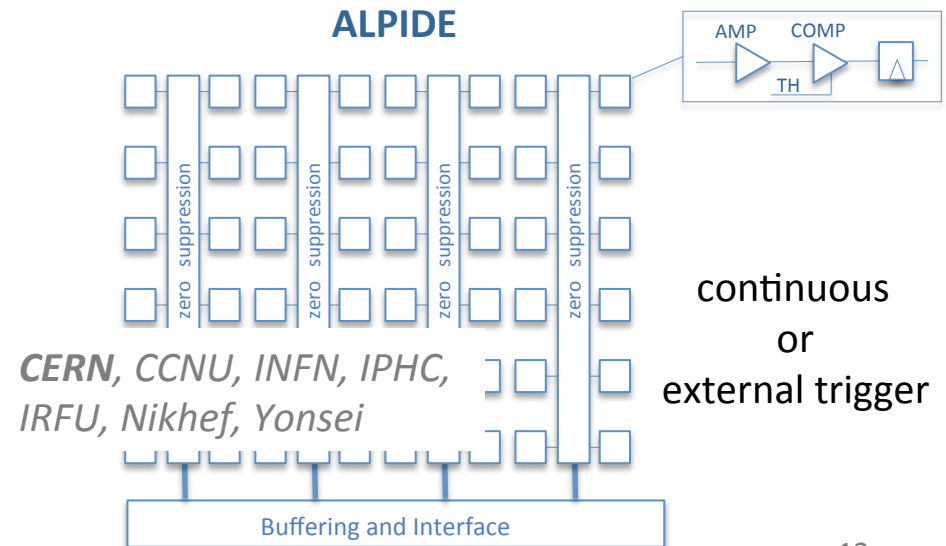
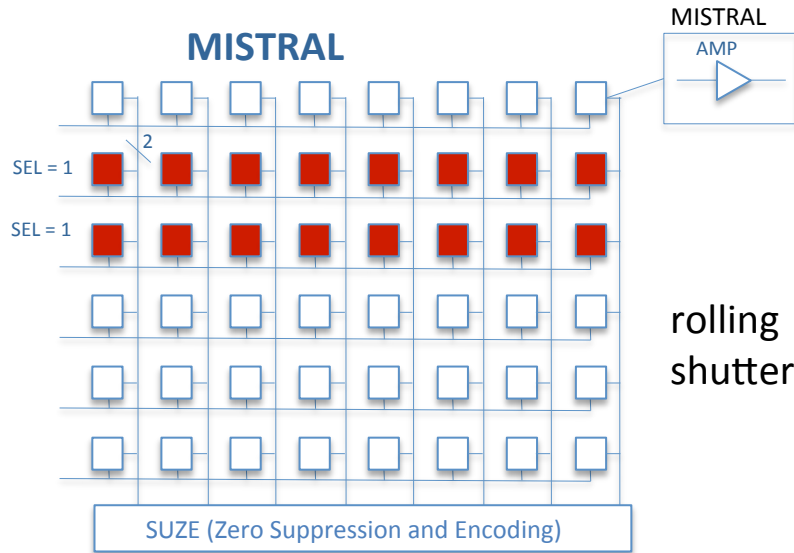


Monolithic PIXEL chip using Tower Jazz CMOS 0.18 μm

- Chip size: 15mm x 30mm
- Pixel pitch $\sim 30 \mu\text{m}$
- Spatial resolution $\sim 5 \mu\text{m}$
- Power density $< 100 \text{ mW/cm}^2$
- Architectures: MISTRAL, ALPIDE



Deep p-well allows truly CMOS circuit inside pixel



CERN, CCNU, INFN, IPHC, IRFU, Nikhef, Yonsei

Pixel Chip - ALPIDE and MISTRAL full-scale prototypes in 2014

ALPIDE Full Scale prototype

- Dimensions: 30mm x 15 mm
- About 0.5 M pixels $28\mu\text{m} \times 28\mu\text{m}$
- 40 nW front-end ($4.7\text{mW} / \text{cm}^2$)
- $\sim 40\text{mW}/\text{cm}^2$ total
- Pulse width $\sim 5 \mu\text{s}$

Chips characterized at PS, SPS

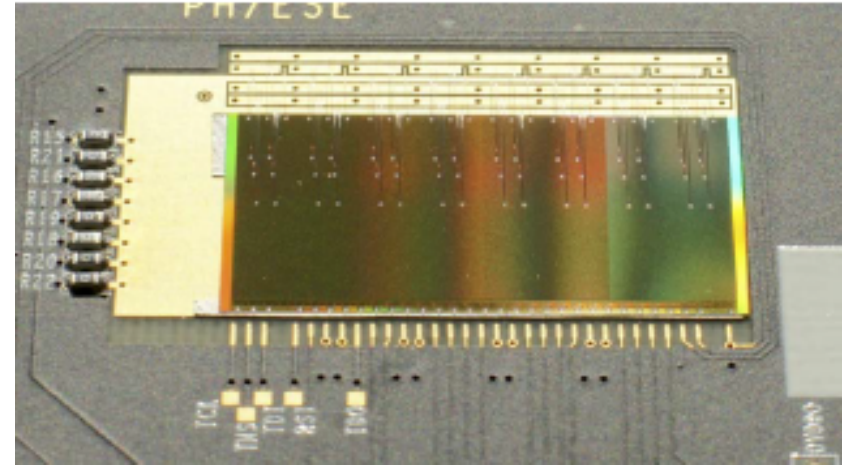


Figure: picture of pALPIDEfs

MISTRAL FSBB-M0 (Full Scale Building Block Mistral 0)

- About 1/3 of a complete sensor (approx. 9mm x 17mm)
- 416 x 416 pixels of $22\mu\text{m} \times 33\mu\text{m}$ (final chip $36\mu\text{m} \times 62\mu\text{m}$)
- 40 μs integration time (final chip $\sim 20\mu\text{s}$)
- Full chain working (front-end, discr., zero suppression)

Chips (non-irradiated) characterized at SPS

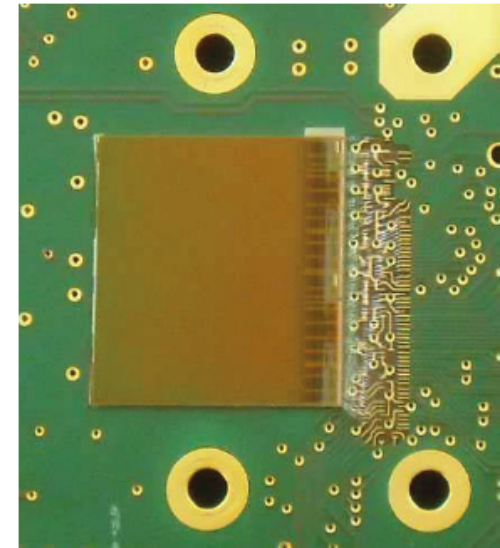
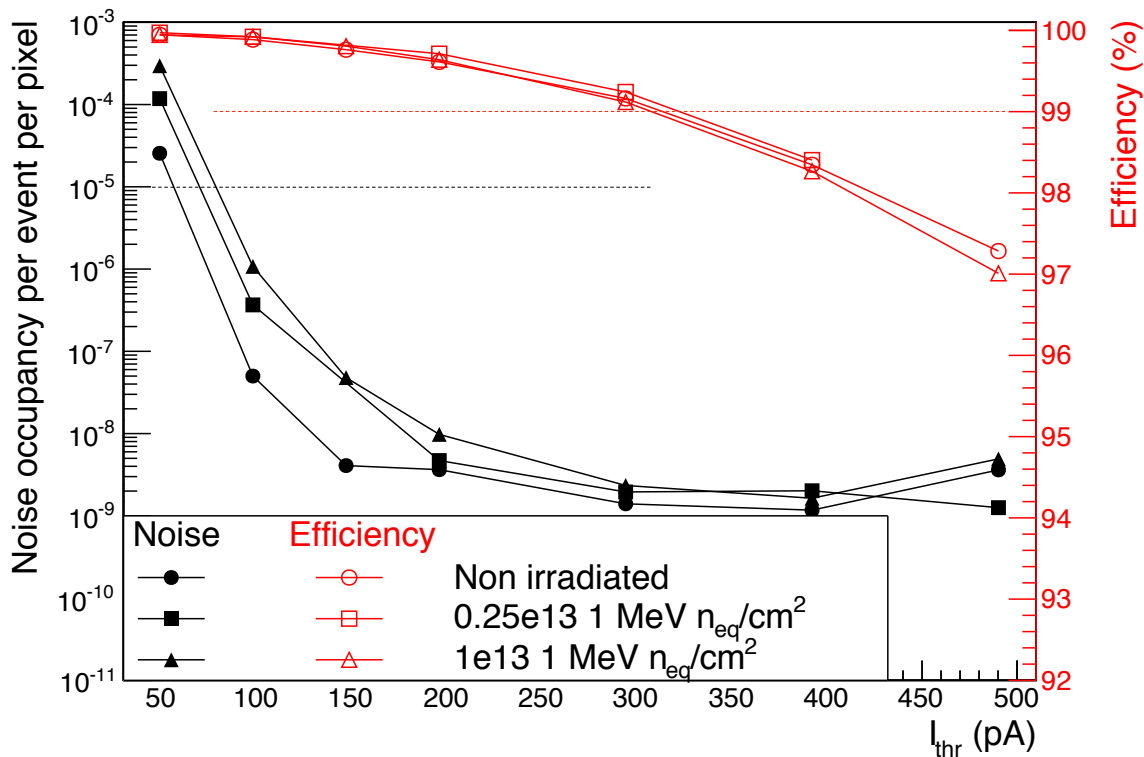


Figure: two FSBB M0

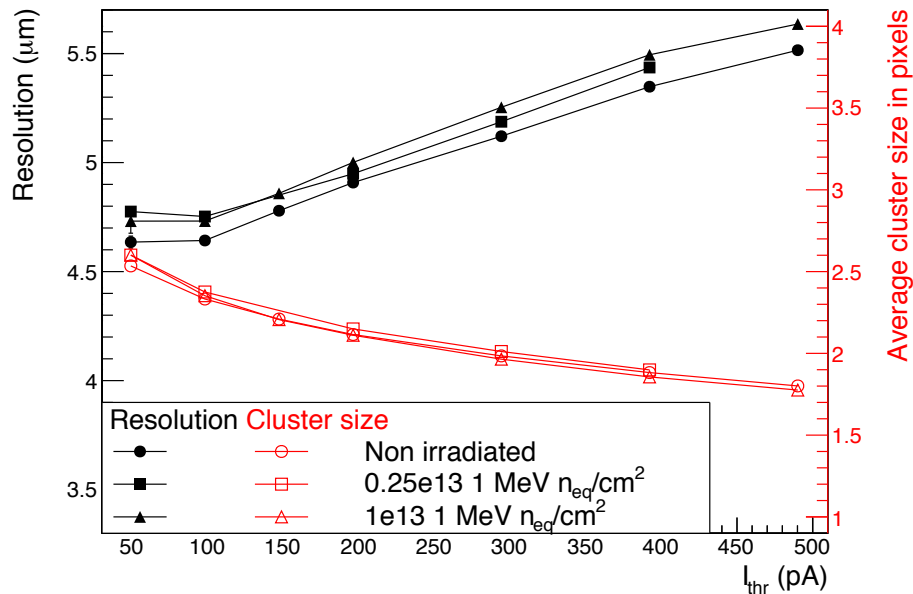
Efficiency and fake hit rate



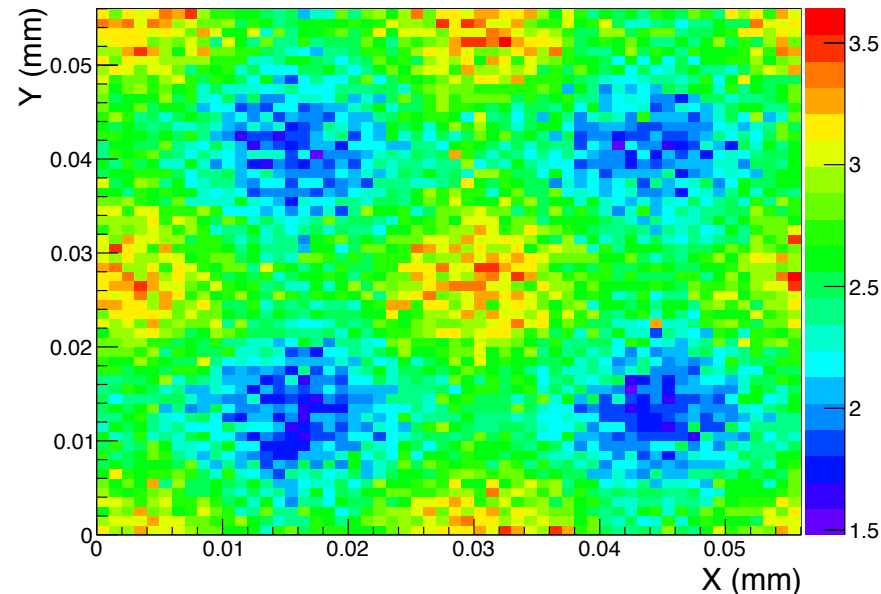
$\lambda_{fake} \ll 10^{-5}$ / event/pixel @ $\epsilon_{det} > 99\%$ ➔ very large margin over design requirements

- Measurements at PS: 5 – 7 GeV π^-
- Results refer to 50 μ m thick chips: non irradiated and irradiated with neutrons 0.25×10^{13} and 10^{13} 1MeV n_{eq} / cm^2 (10 x load expected in 6 years)

Spatial resolution



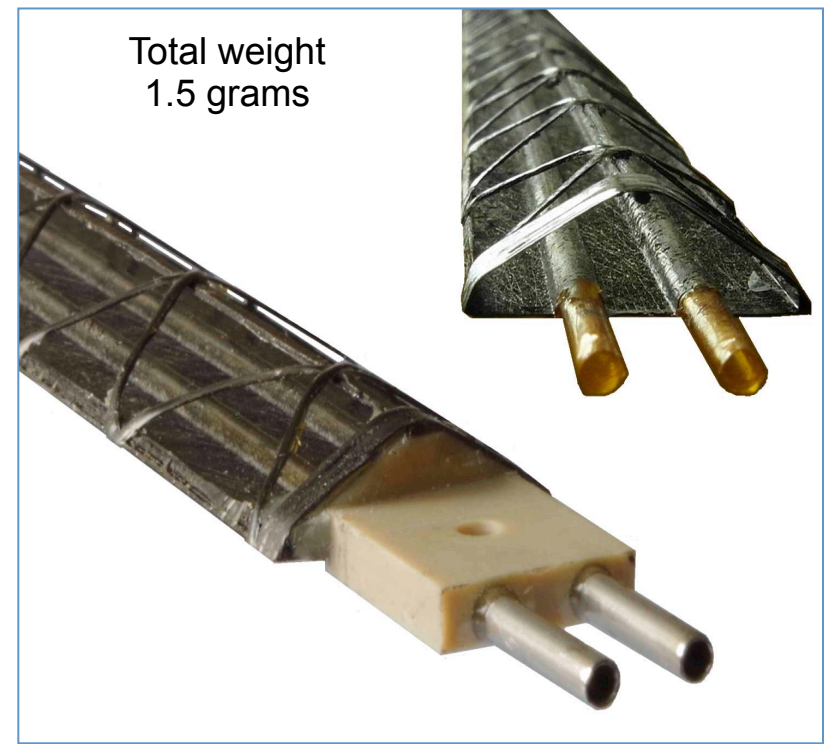
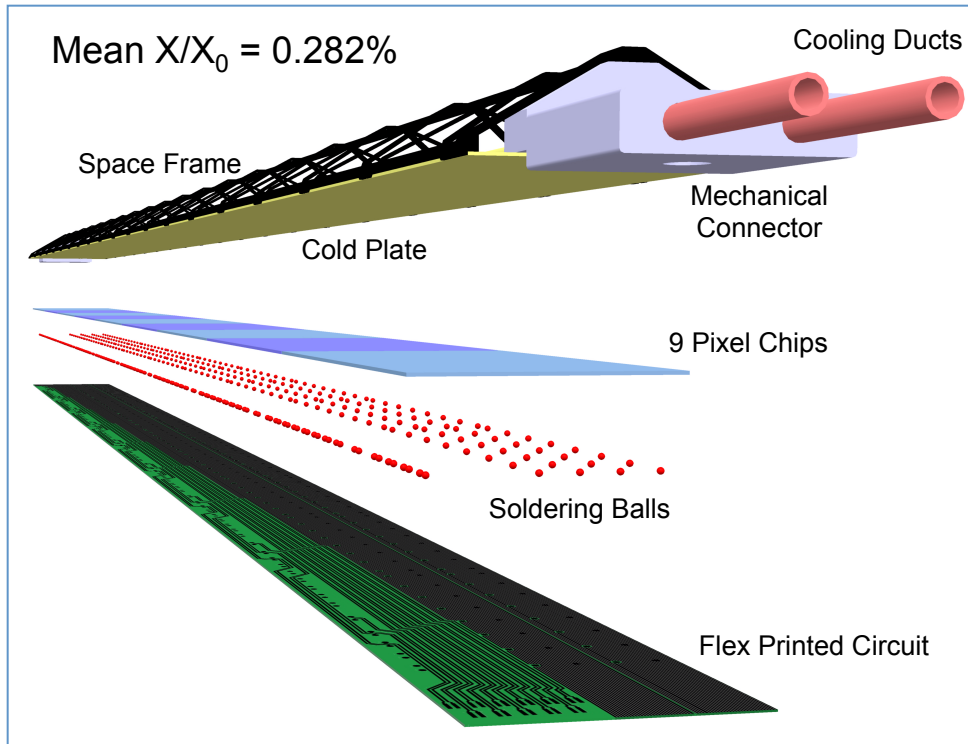
Cluster size vs. position within pixel



$\sigma_{\text{det}} < 5 \mu\text{m}$ is achieved with sufficient margin of operation

- Measurements at PS: 5 – 7 GeV π^-
- Results refer to 50 μm thick chips: non irradiated and irradiated with neutrons 0.25×10^{13} and 10^{13} 1MeV $n_{\text{eq}} / \text{cm}^2$ (10 x load expected in 6 years)

New ITS Layout - Inner Barrel



<Radius> (mm): 23,31,39

Nr. of staves: 12, 16, 20

Nr. of chips/layer: 108, 144, 180

Power density: < 100 mW/cm²

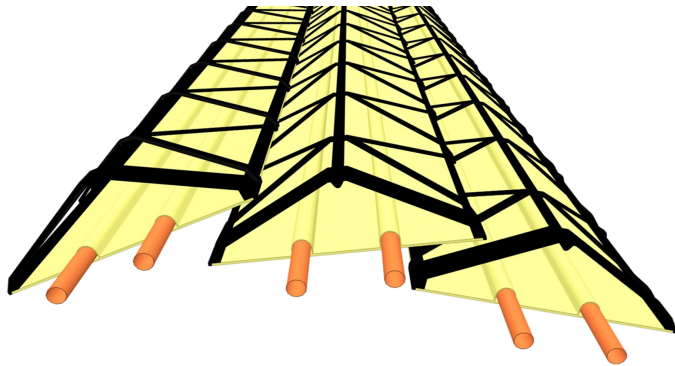
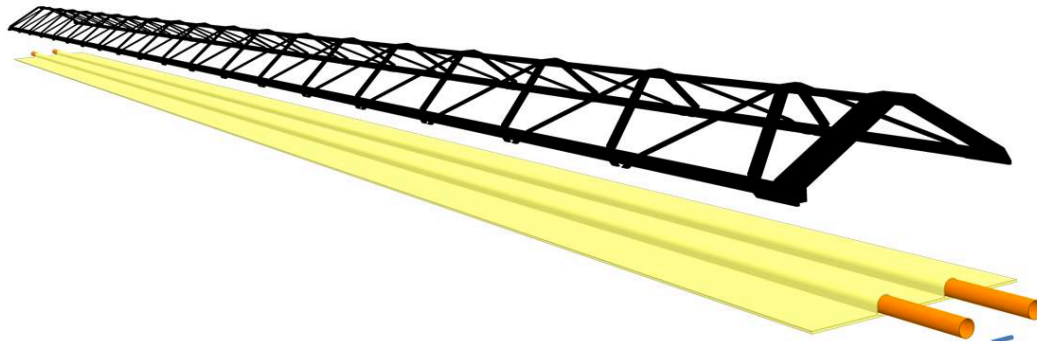
Length in z (mm): 270

Nr. of chips/stave: 9

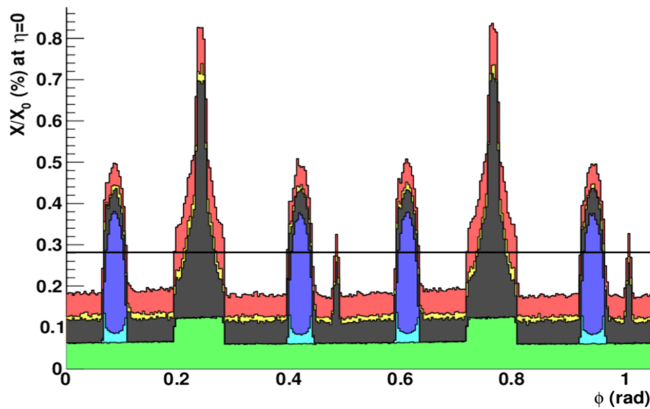
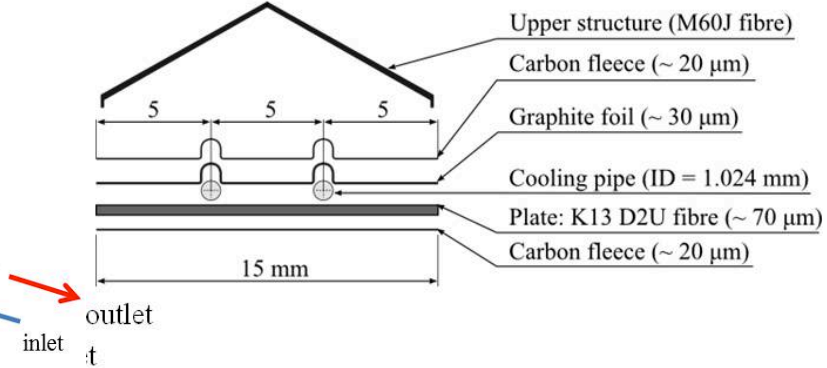
Material thickness: $\sim 0.3\% X_0$

Throughput (@100kHz): < 80 Mb/s \times cm⁻²

Inner Barrel – Geometry and material budget

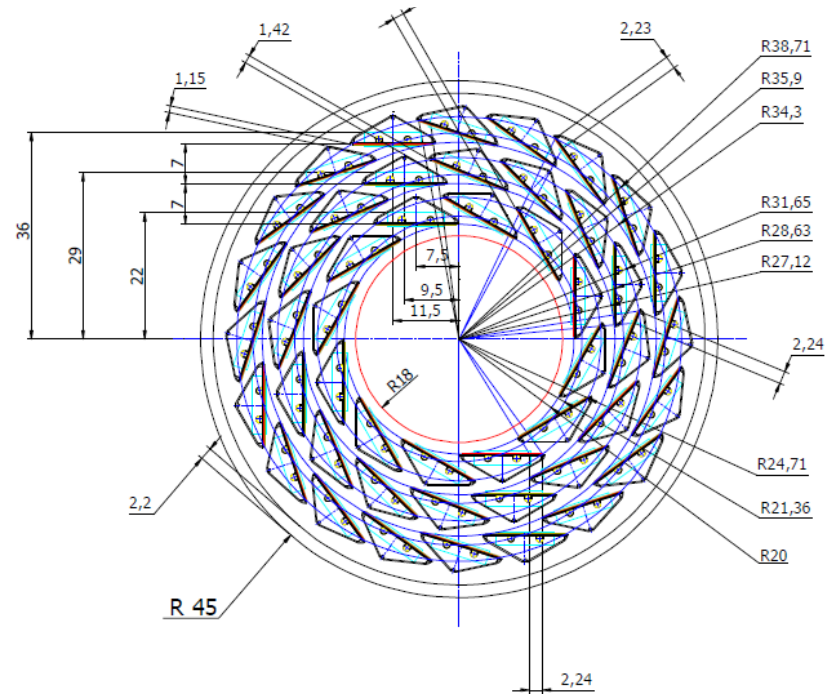


Transversal section:



- Flex Printed Circuit (22%)
- Glue (5%)
- Carbon Structure (33%)
- Water (13%)
- Cooling pipes wall (2%)
- Pixel Chip (26%)

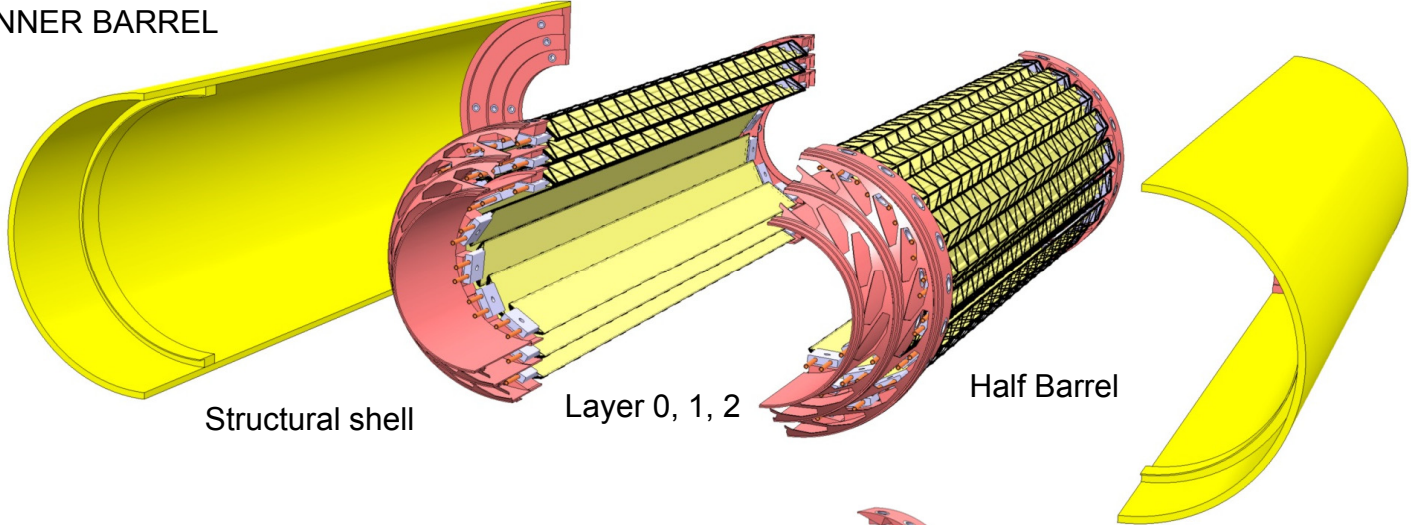
Mean $X/X_0 = 0.282\%$



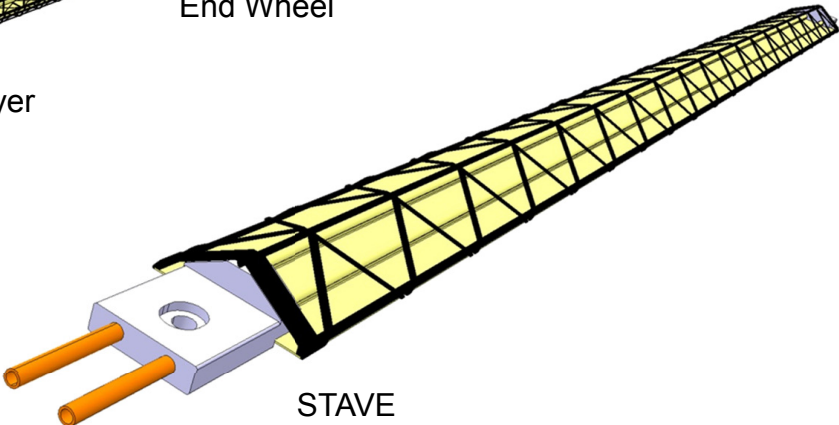
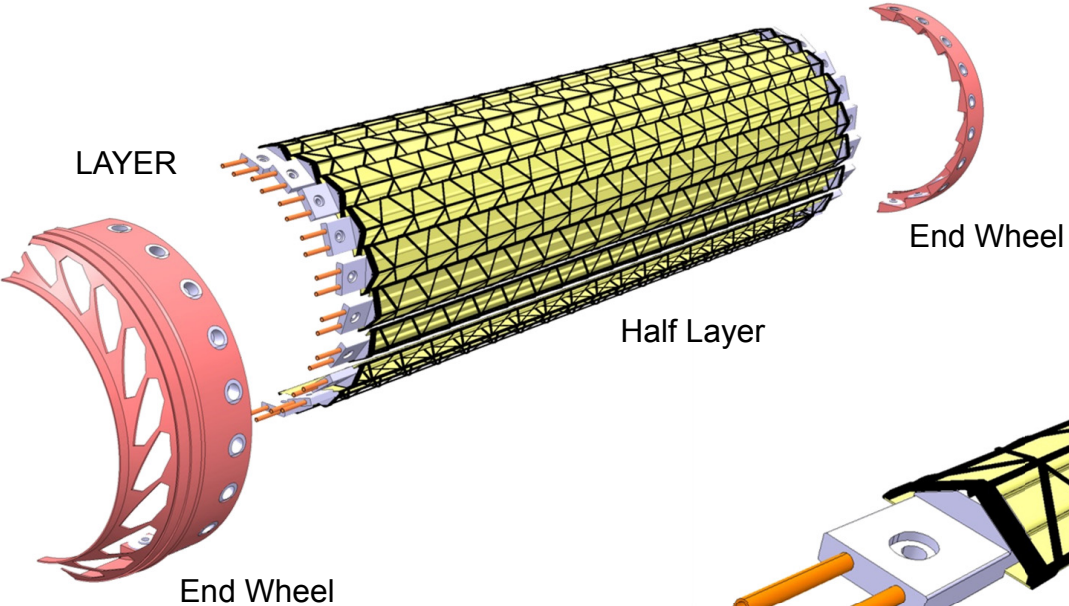
Inner Barrel



INNER BARREL

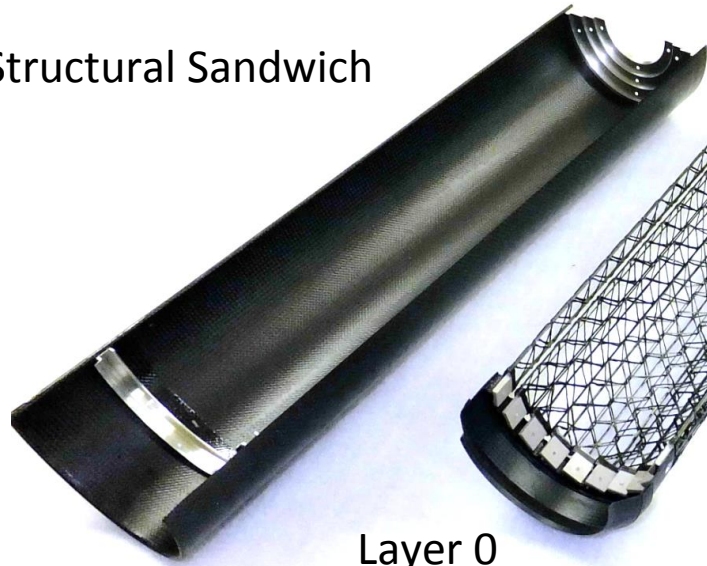


LAYER

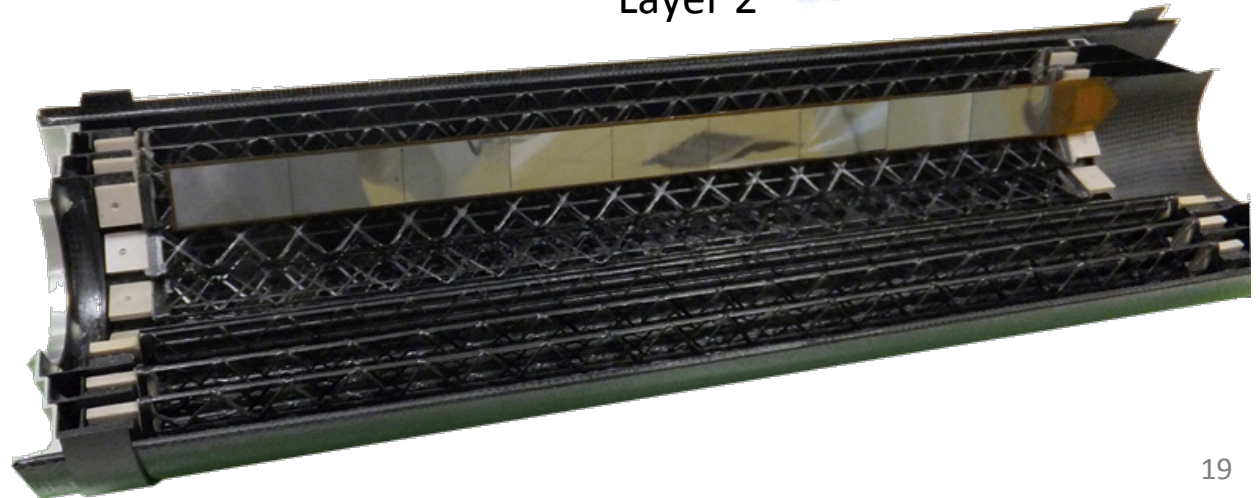


Inner Barrel – full-scale prototype

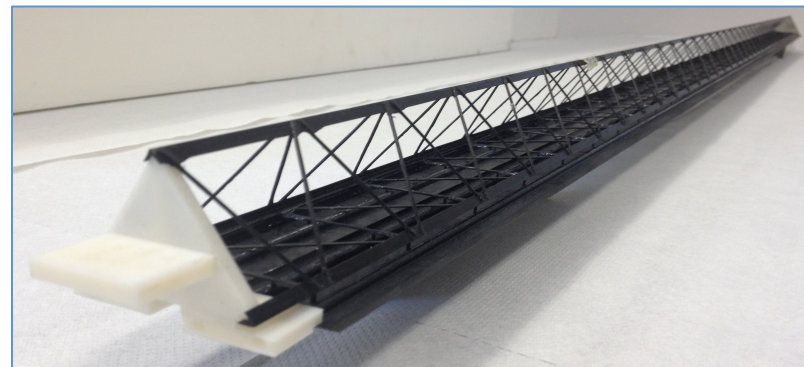
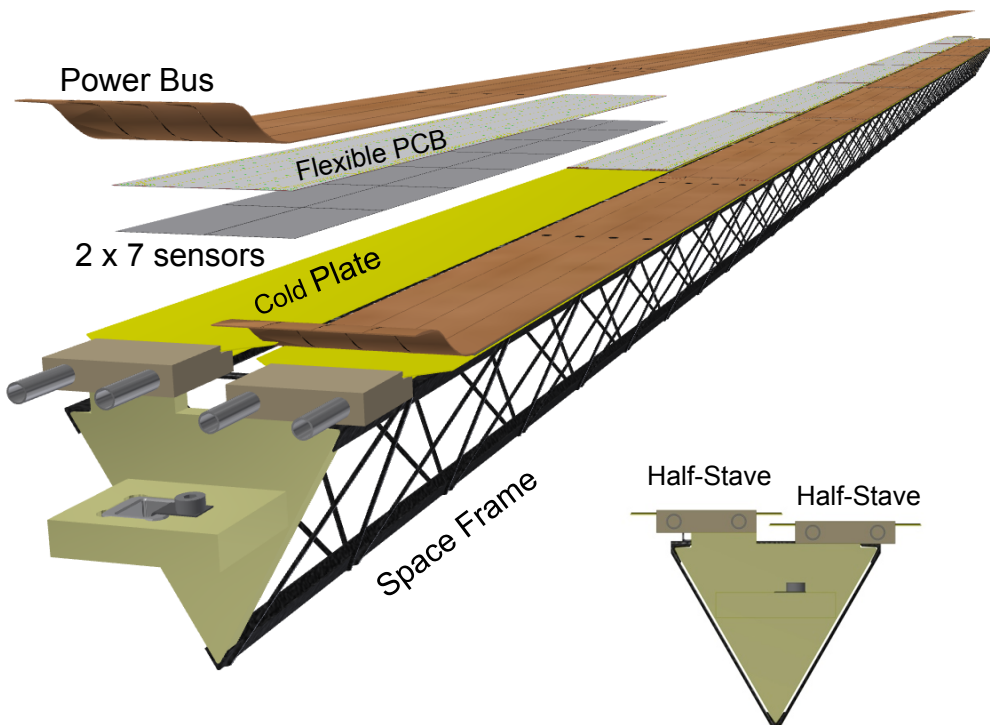
Structural Sandwich



Prototype



Outer Barrel



Outer Barrel (OB)

<radius> (mm): 194, 247, 353, 405

Nr. staves: 22, 28, 40, 46

Nr. Chips/layer: (ML), (OL)

Power density < 100 mW / cm²

Length (mm): 843 (ML), 1475 (OL)

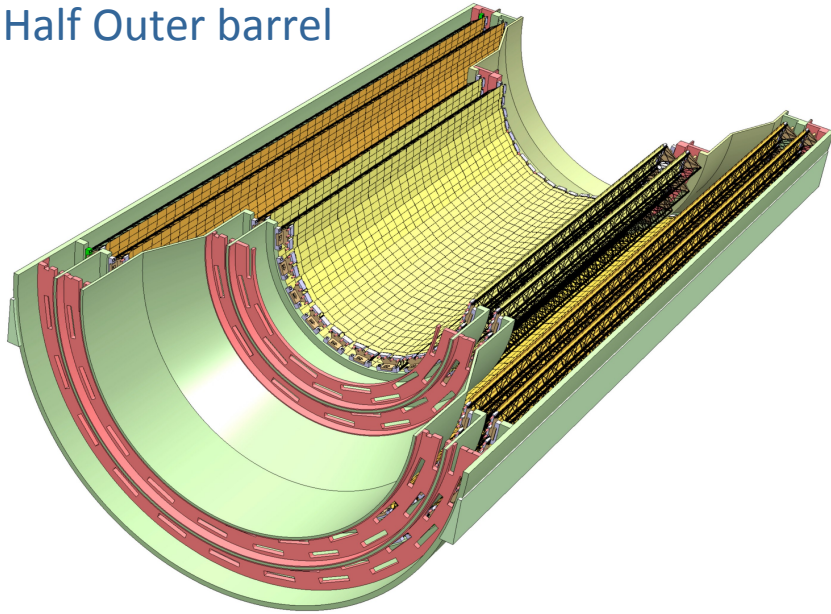
Nr. modules/stave: 4 (ML), 7 (OL)

Material thickness: ~ 1% X₀

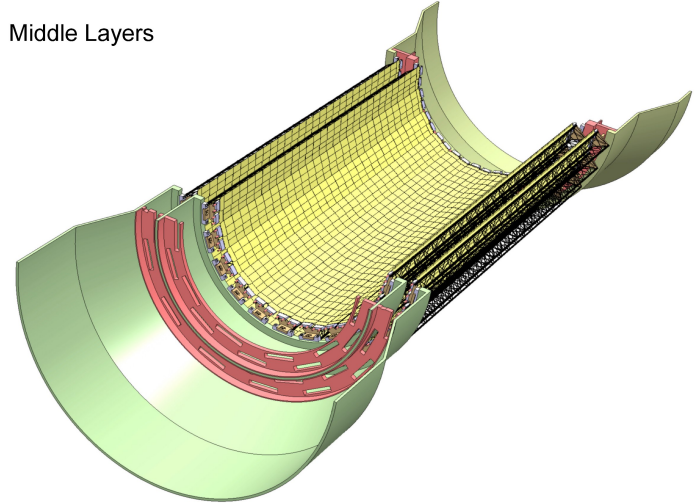
Throughput (@100kHz): < 3Mb/s × cm⁻²

Outer Barrel Support Structure and Assembly

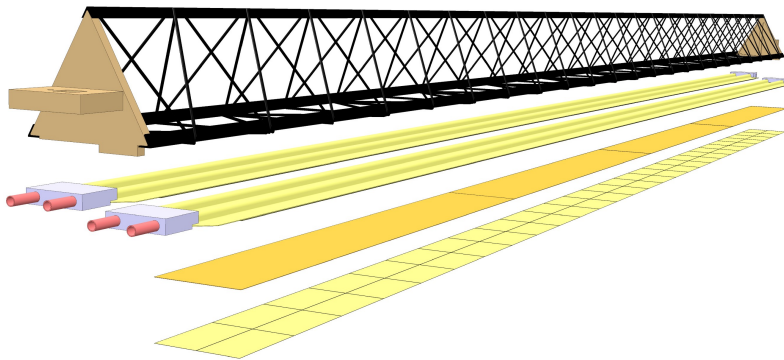
Assembly
Half Outer barrel



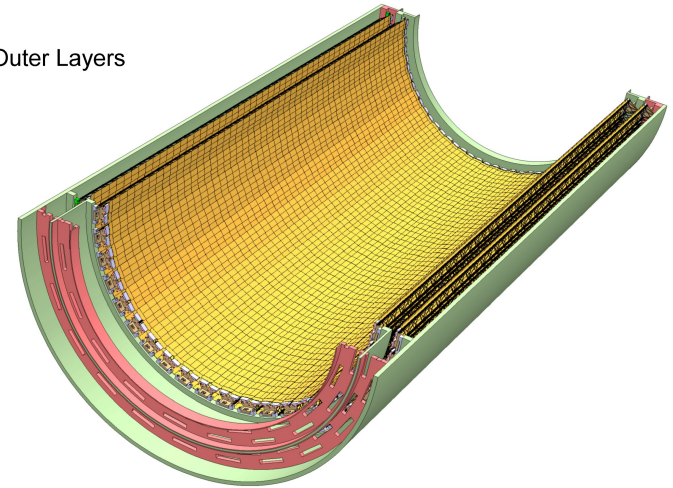
Middle Layers



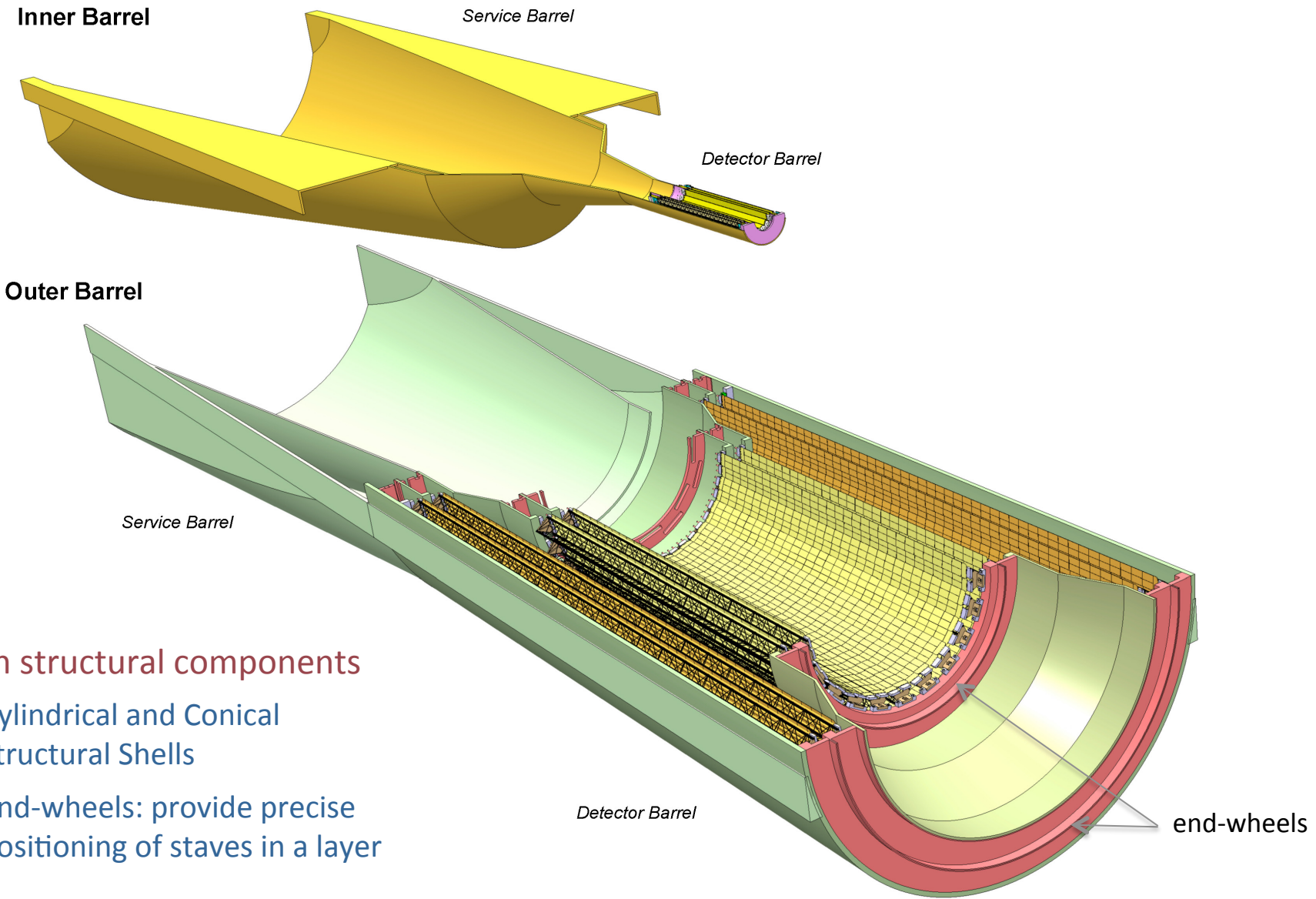
Stave



Outer Layers



Detector Assembly

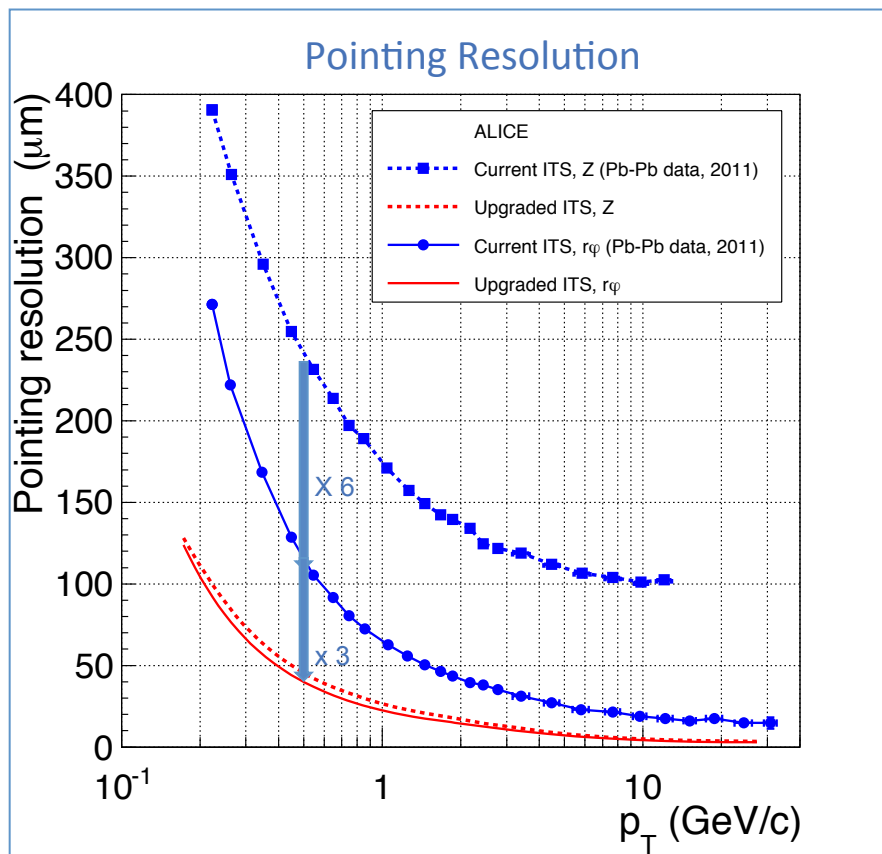


Main structural components

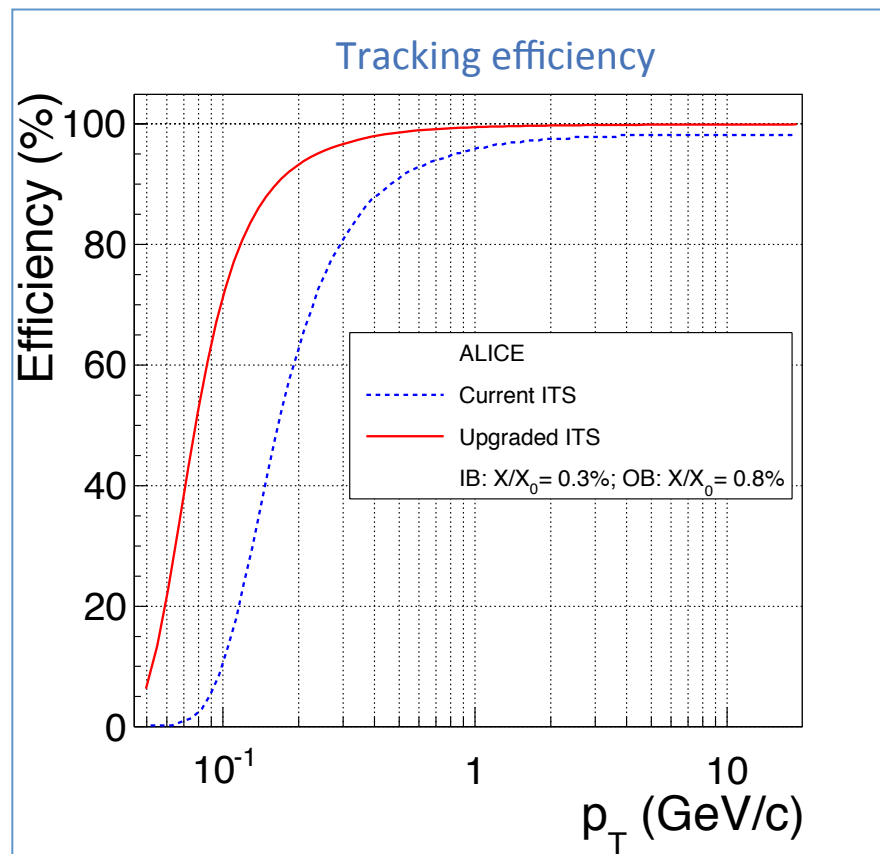
- Cylindrical and Conical Structural Shells
- End-wheels: provide precise positioning of staves in a layer

Performance of new ITS (MC simulations)

Impact parameter resolution

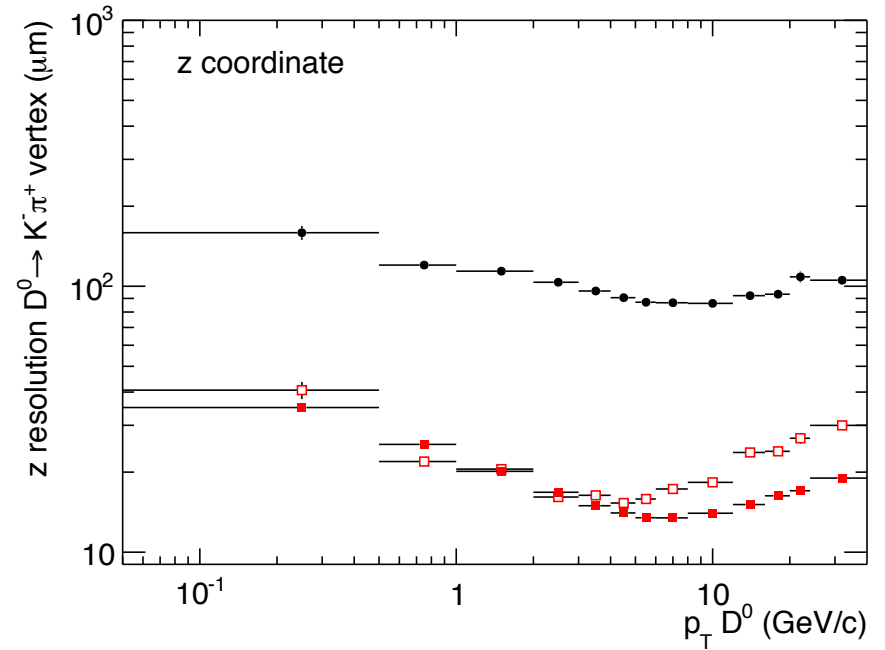
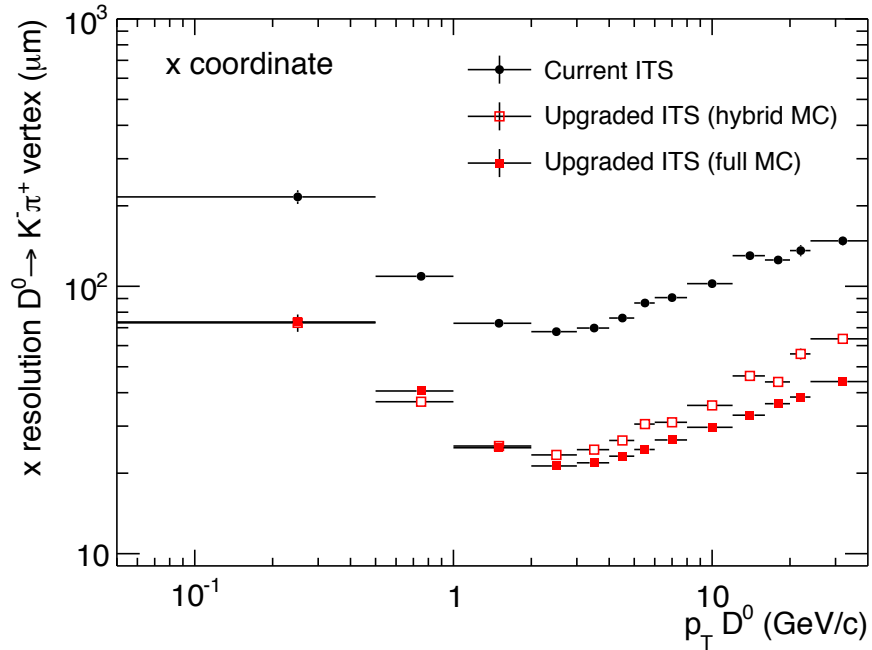


Tracking efficiency (ITS standalone)



$\sim 40 \mu\text{m}$ at $p_T = 500 \text{ MeV}/c$

$D^0 \rightarrow K^- \pi^+$ secondary vertex position resolution

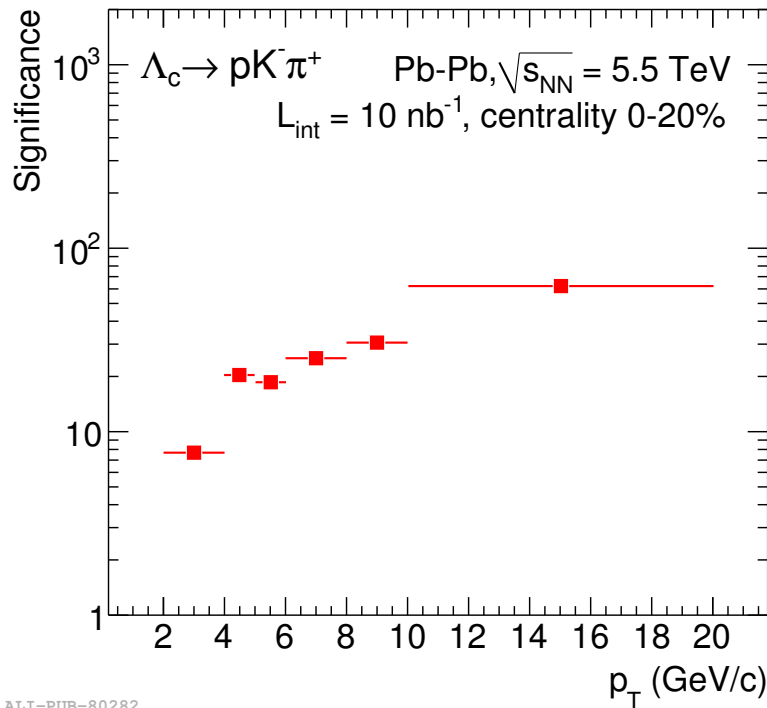


J. Phys. G (41) 087002

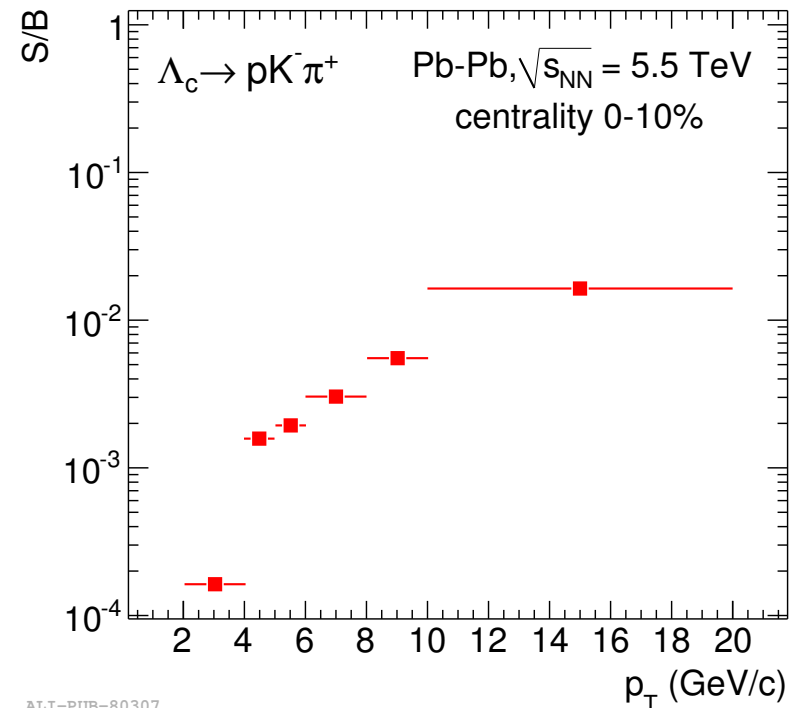
Λ_c has a decay length $c\tau \approx 60 \mu\text{m}$
currently inaccessible in Pb-Pb

Most promising channel

$\Lambda_c \rightarrow p K^- \pi^+$ B.R. $\sim 5\%$



ALI-PUB-80282

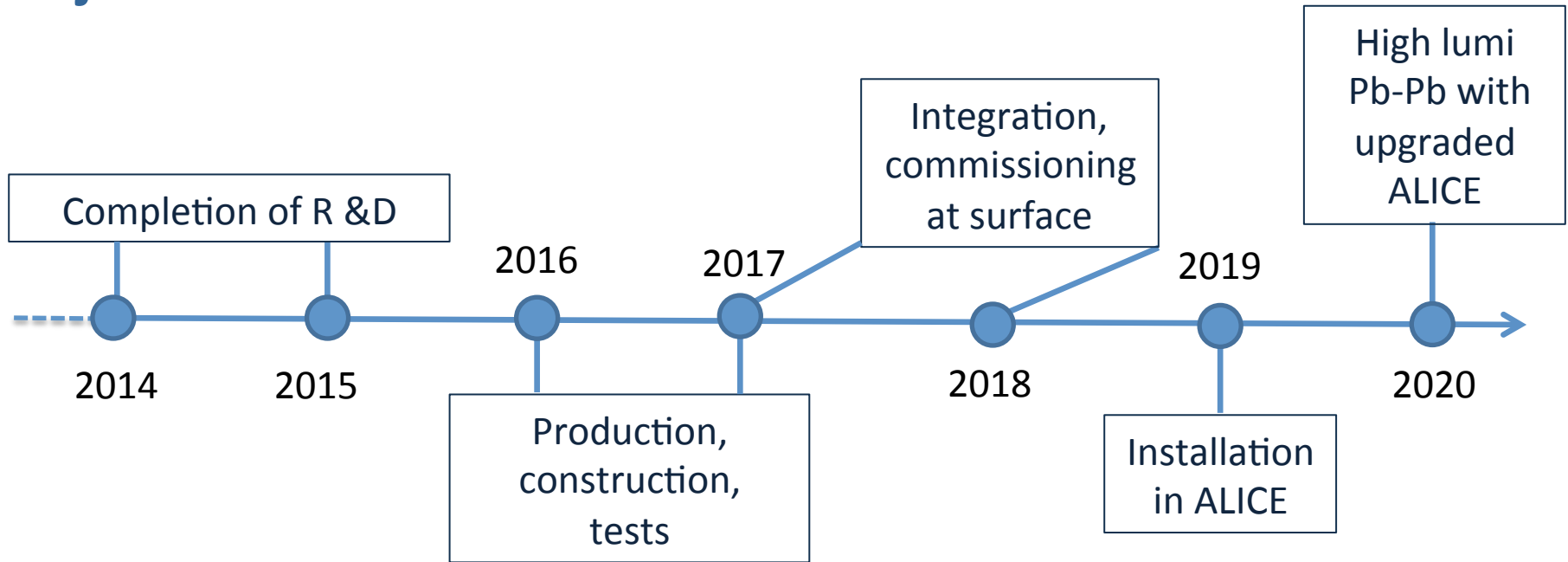


ALI-PUB-80307

Measurement expected to reach down to 2-4 GeV/c (stat. error $\sim 12\%$)

Ability to reconstruct Λ_c would also give insight to Λ_b measurements ($\Lambda_b \rightarrow \Lambda_c^+ \pi^-$)

Project Timeline and Collaboration



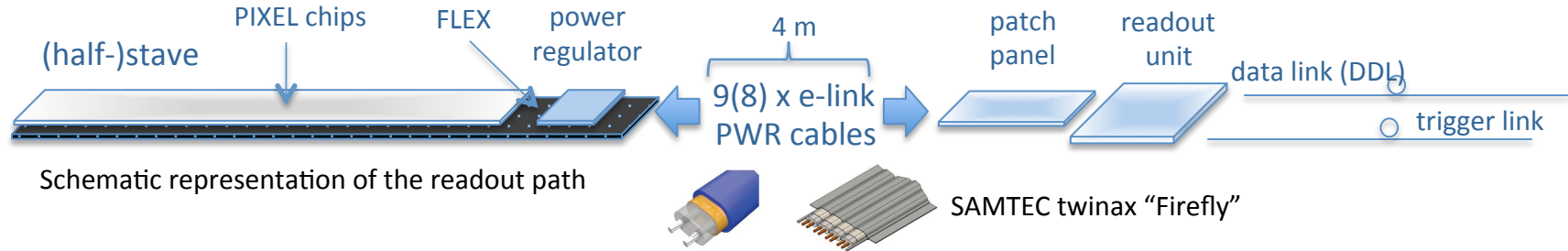
ALICE ITS Collaboration - (MoU for ITS construction is being finalized)

CERN, **China** (Wuhan), **Check Republic** (Prague), **France** (Grenoble, Strasbourg), **Italy** (Aless., Bari, Cagliari, Catania, Frascati, Padova, Roma, Trieste, Torino), **Indonesia** (LIPI), **Korea** (Pusan, Inha, Yonsei), **Netherlands** (Nikhef, Utrecht), **Pakistan** (CIIT-Islamabad), **Russia** (St. Petersburg), **Slovakia** (Kosice), **Thailand** (Suranaree, SLRI, TMEC), **UK** (Daresbury, Liverpool, RAL), **Ukraine** (Kharkov), **USA** (Austin, Berkeley)

Institute = participated in current ITS

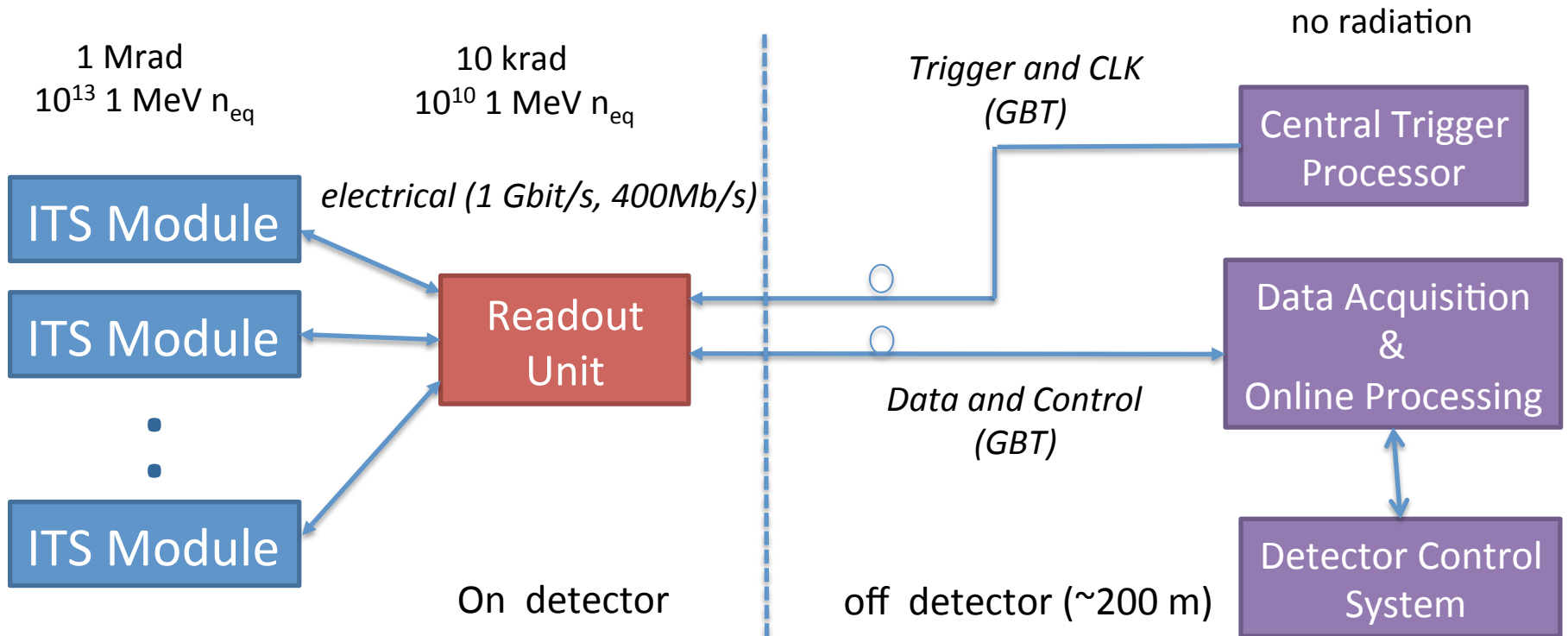
SPARES

Readout – general scheme and data throughput



Data throughput 324 Gbit/s
1008 electrical links

(184 DAQ optical links + n Trigger links)



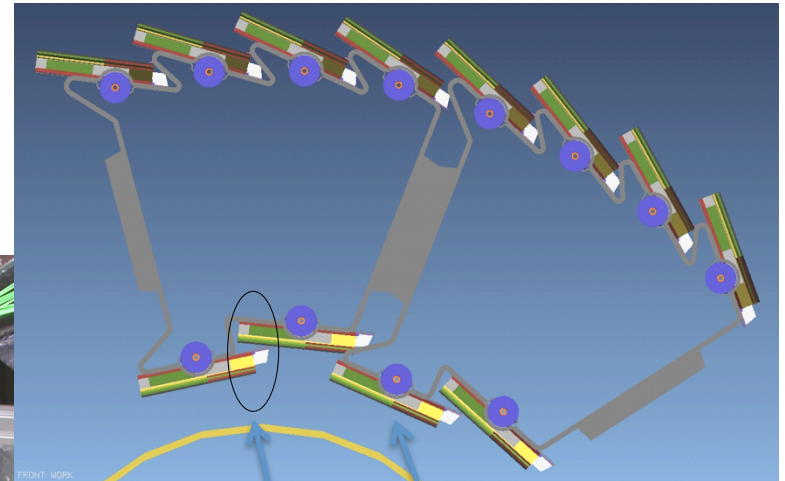
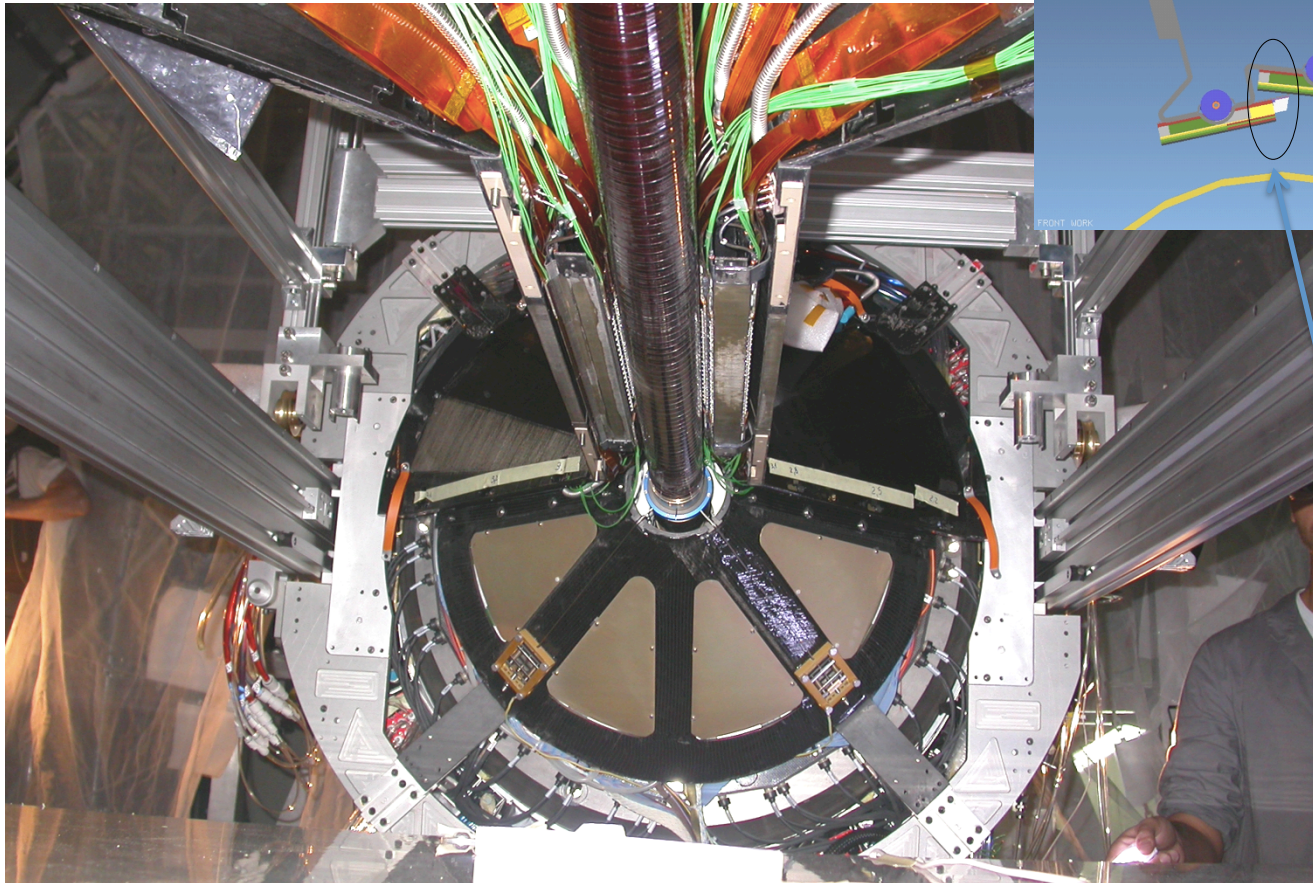
The Current ALICE Pixel Detector

2 barrel layers formed by 10 carbon fiber sectors

R_{out} beam-pipe: 29.8 mm

$\langle R_{\text{inner layer}} \rangle$: 39 mm

$\langle R_{\text{outer layer}} \rangle$: 76 mm



Minimum clearance of
closest component to
beam-pipe ~ 5 mm




Overlap in ϕ to cover
sensor dead area at
the interface edge

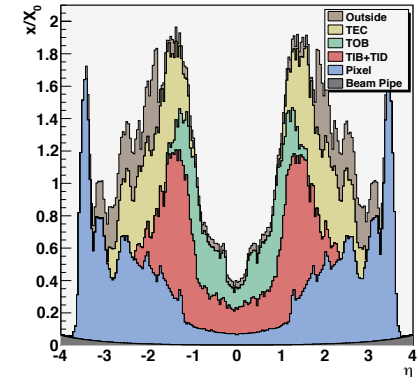
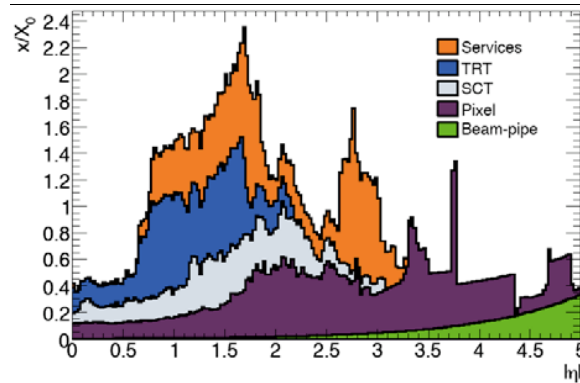
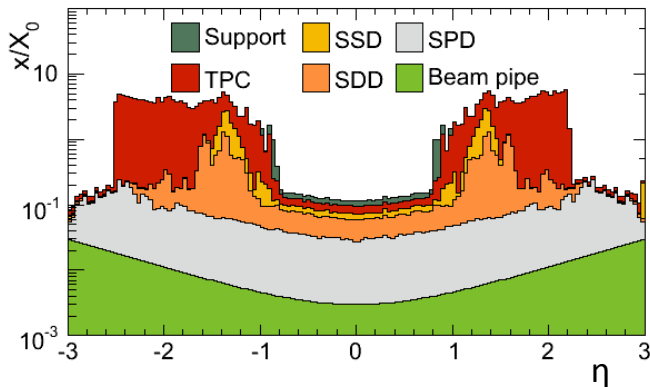
The Current ALICE Pixel Detector



Material budget

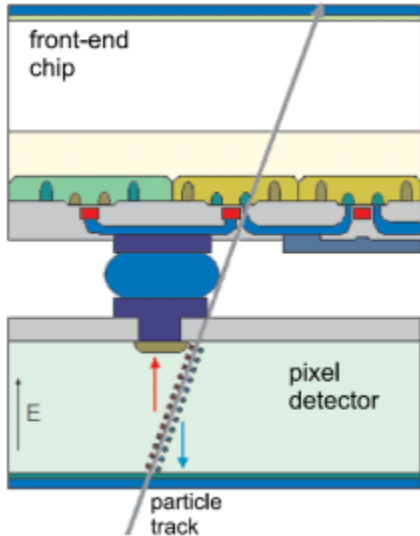
Cumulative mid-rapidity material budget for ALICE, ATLAS and CMS

 ALICE	x/X_0 (%)	 ATLAS	x/X_0 (%)	 CMS	x/X_0 (%)
Beam pipe	0.26	Beam pipe	0.45	Beam pipe	0.23
Pixels (7.6 cm)	2.28	Pixels (12 cm)	4.45	Pixels (10.2 cm)	7.23
ITS (50 cm)	7.85	SCT (52 cm)	14.45	TIB (50 cm)	22.23
TPC (2.6 m)	13	TRT (1.07 m)	32.45	TOB (1.1 m)	35.23



High precision tracking at low p_T due to low material budget

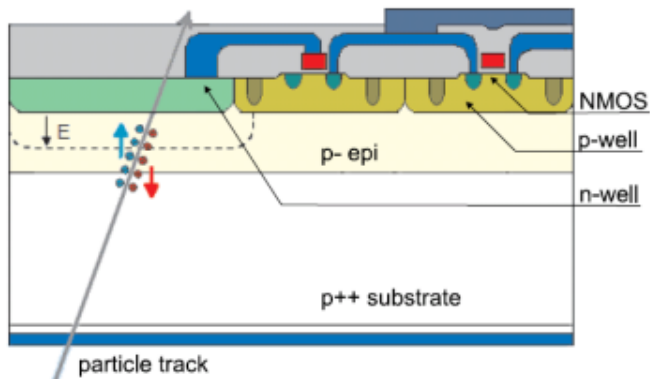
Hybrid Pixel Detector



N. Wermes (Univ. of Bonn)

- Sensor based on **silicon junction detectors** produced in a **planar process**
- High resistivity wafers (few kWcm) with diameters of 4" – 6"
- Specialized producers (~10 world wide)
- **Readout Chip**: ASIC - CMOS sub-micron technology
- Interconnect technology based on **flip-chip bonding**

Monolithic Pixel Detector



N. Wermes (Univ. of Bonn)

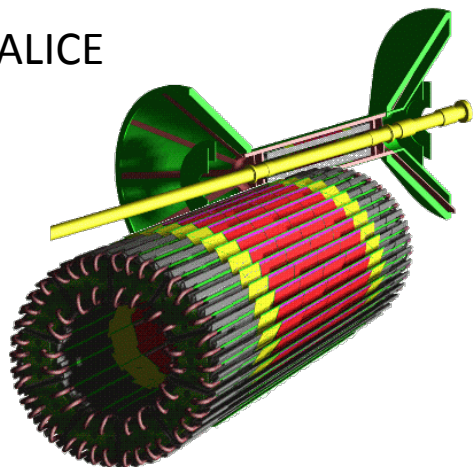
- Charge generation volume integrated into the ASIC
- Exist in many different flavours: CCDs, CMOS MAPS, HV/HR CMOS, DEPFET, SOI, ...
- This talk will focus on CMOS Monolithic Active Pixel Sensors (CMOS MAPS) = CMOS Pixel Sensors (CPS)

Pixel Detectors in HEP Experiments

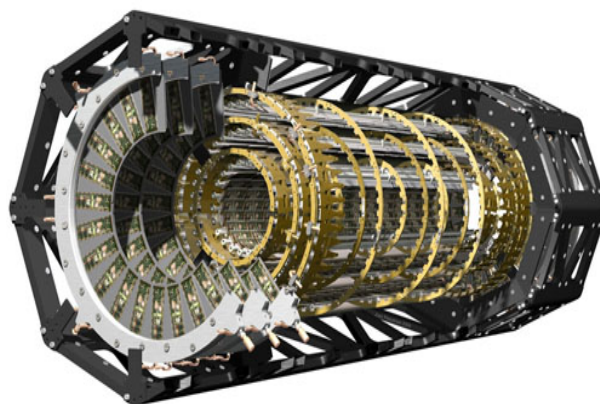
Hybrid Pixel Detectors at the heart of the LHC Experiments

Different sensor technologies, designs, operating condition

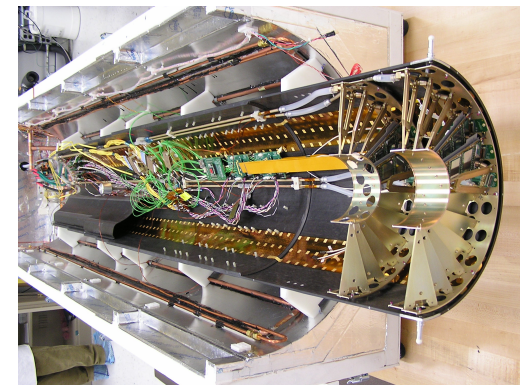
ALICE



ATLAS

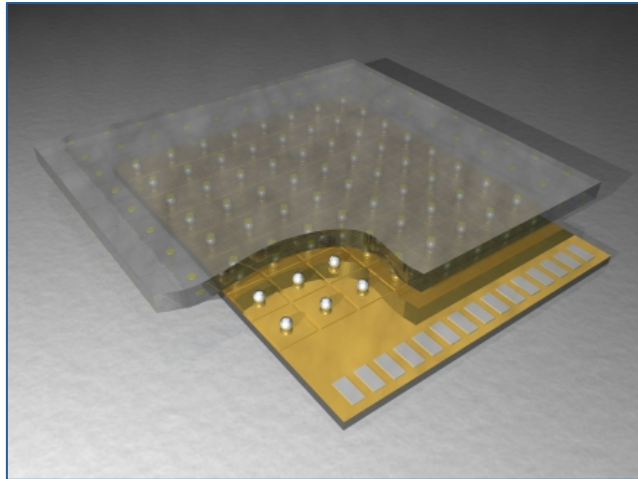
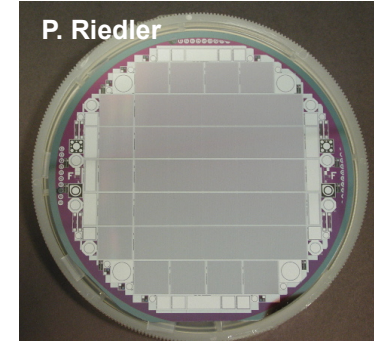


CMS



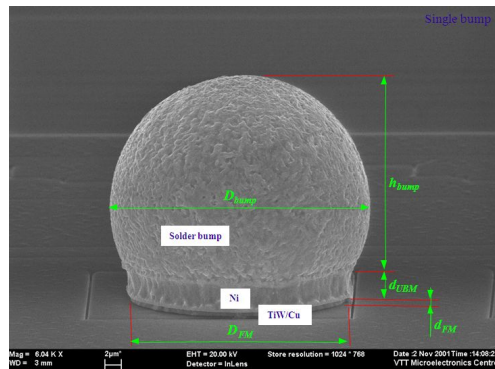
Parameters	ALICE	ATLAS	CMS
Nr. layers	2	3	3
Radial coverage [mm]	39 - 76	50 - 120	44 - 102
Nr of pixels	9.8 M	80 M	66 M
Surface [m ²]	0.21	1.7	1
Cell size (r ϕ x z) [μ m ²]	50 x 425	50 x 400	100 x 150
Silicon thickness (sens. + ASIC) - x/X ₀ [%]	0.21 + 0.16	0.27 + 0.19	0.30 + 0.19

- Limited number of sensors producers (~10 world-wide)
- no industrial scale production → **high cost**

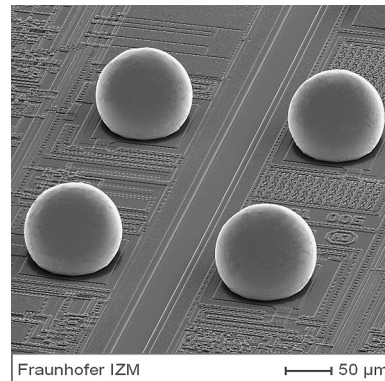


Azom.com

- Complex and **costly** interconnection between sensors and ASIC
- Interconnection technology (micro-bump bonding) limits:
 - **pitch** (currently ~30 μm)
 - input capacitance → **power**



VTT Microelectronics Centre

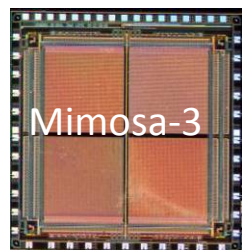
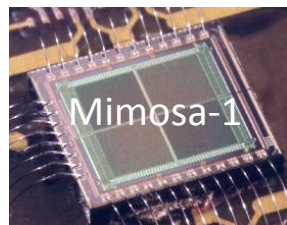


Fraunhofer IZM

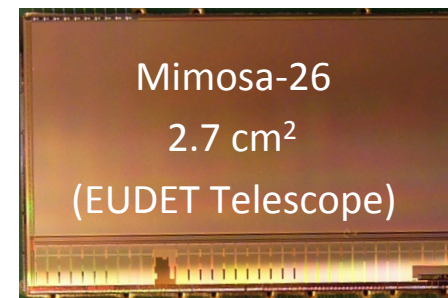
Lower production cost
Higher integration (pitch, x/X_0)
Lower power (x/X_0 , cost)

Monolithic Pixel Detectors in HI Experiments

Owing to the industrial development of CMOS imaging sensors and the intensive R&D work within the HEP community (IPHC, RAL, ...)

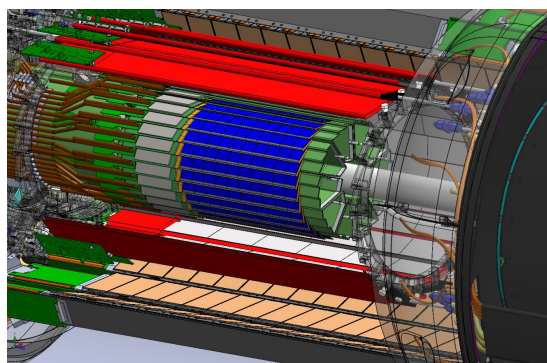


...



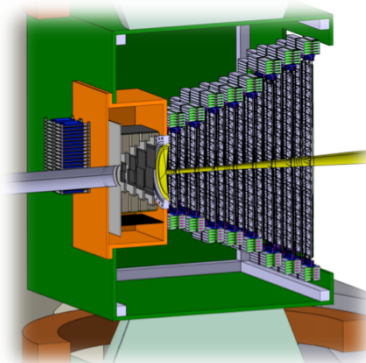
M. Winter ... and the vision and support of STAR (H. Wieman, L. Greiner et al.)

... several HI experiments have selected CMOS pixel sensors for their inner trackers



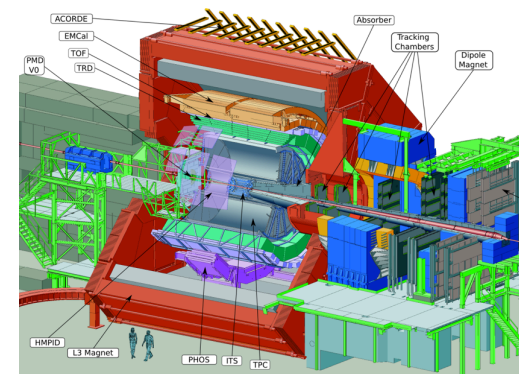
STAR HFT

0.16 m² – 356 M pixels



CBM MVD

0.08 m² – 146 M pixel



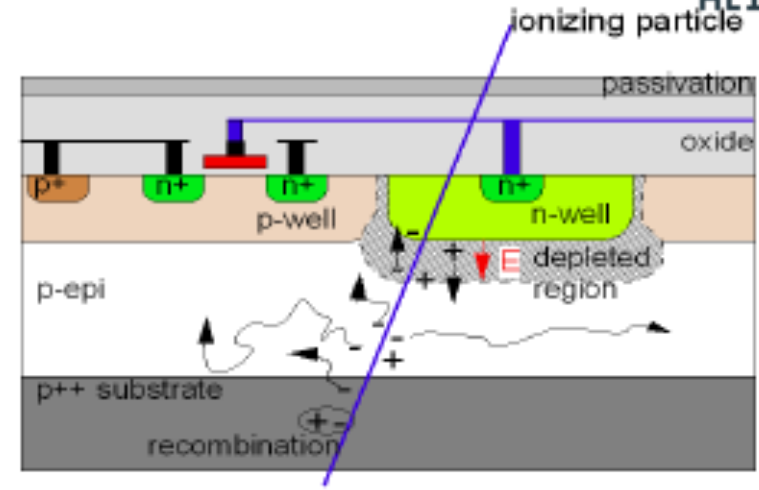
ALICE ITS Upgrade (and MFT)

10 m² – 12 G pixel

2014 - First CPS Detector

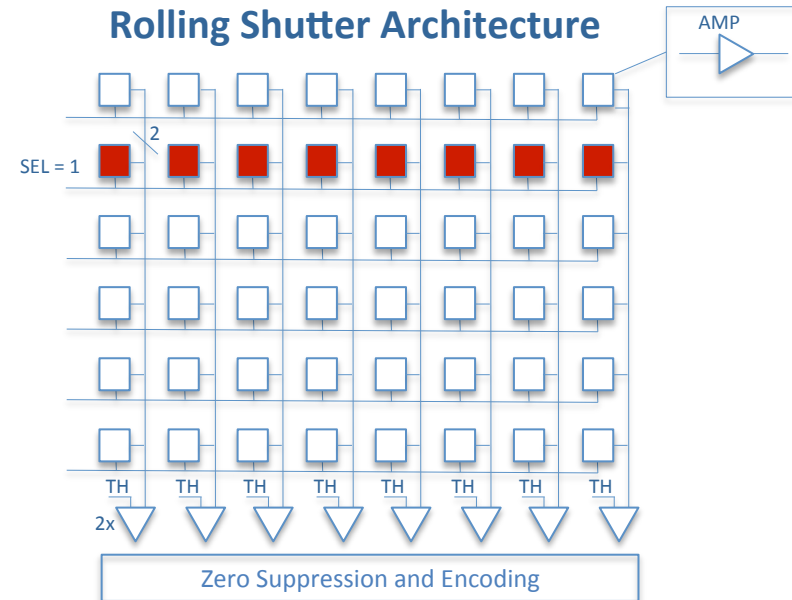
Classical CMOS Pixel Sensor

- n-well charge collector in p-type epitaxial layer
- Signal generated in a high-resistivity ($> 1 \text{ k}\Omega\text{cm}$) epi-layer $\sim 20\mu\text{m}$ thick (larger values possible)
- (Early versions with thin and low resistivity epi-layer)
- MIP produces ~ 80 e-h pairs per micron



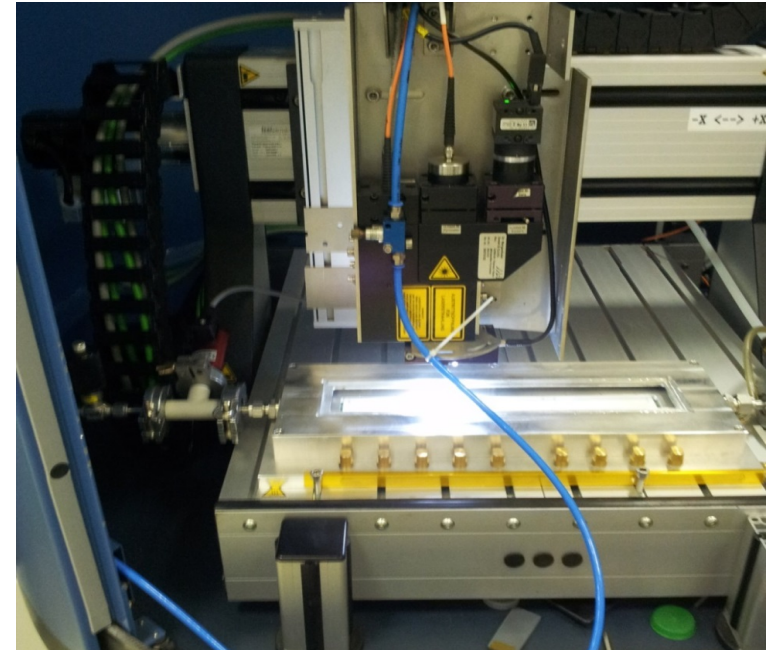
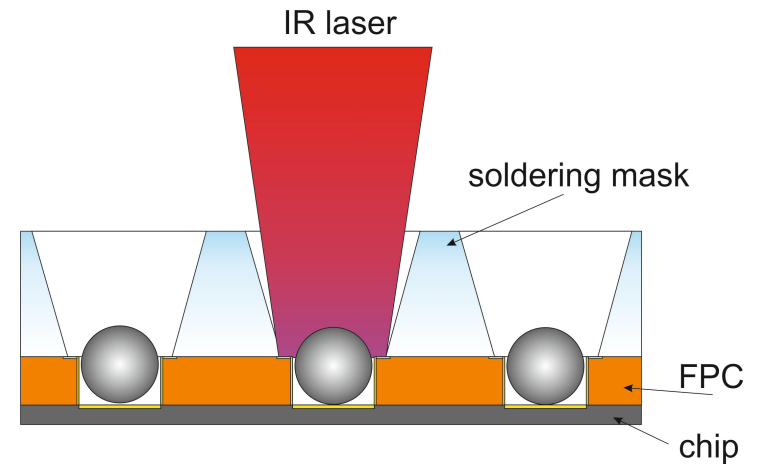
M. Winter et al. (IPHC)

- epi-layer **not fully depleted**
- Charge collected by (mostly) diffusion and drift
- **Longer charge collection time**
- **More sensitive to radiation induced displacement damage in the epi layer**
- **Only one transistor type in the active area (NMOS)**
- Often use **rolling shutter architecture** for reading out the matrix



Selective laser soldering

- Interconnection between FPC and chip by flux-less laser soldering of 200 μm diameter Sn/Ag(96.5/3.5) balls (227 $^{\circ}\text{C}$ melting T) in vacuum ($\leq 10^{-1}$ mbar)
- IR diode laser, 976 nm, 25 W, 50 mm focal length, 250 μm beam spot size
- Laser power modulated by pyrometer, programmable T profile ensures precise limitation of heating
- Soldering mask (in Macor[®] or Rubalit[®]) used to push FPC on chip and guide soldering balls inside FPC vias

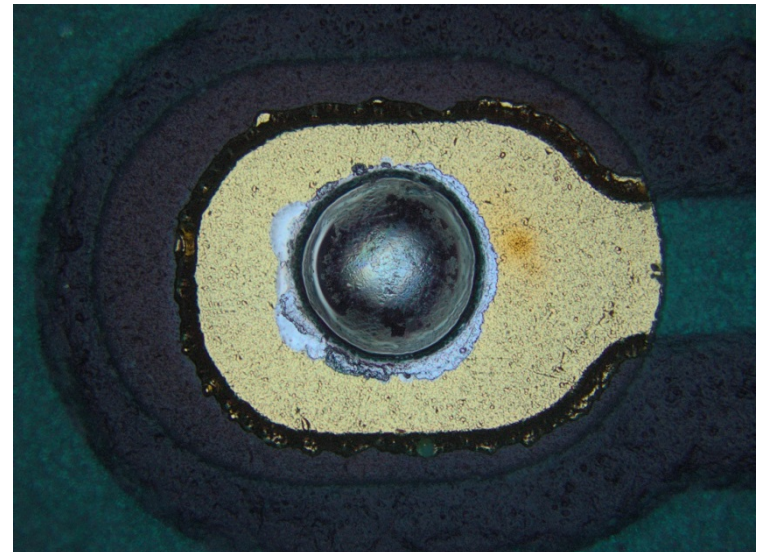
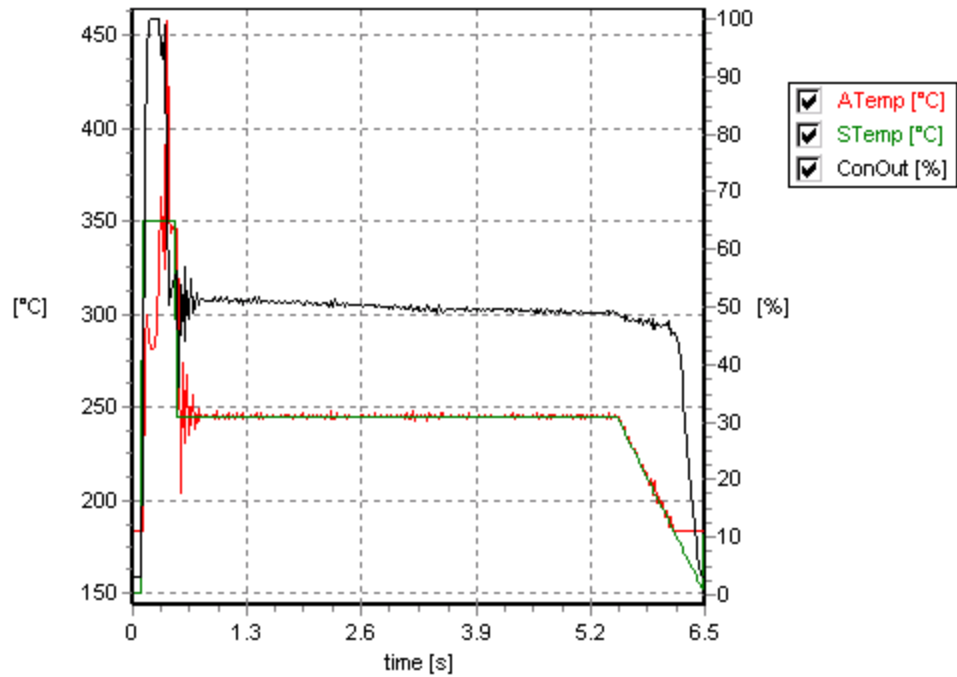


Good soldering

192.168.0.10: process: 10533 workpiece code: 06102014-SA1

07.10.2014 11:00:38, duration: 6.500 s

PI: 320.01 %s, script: 181, errors: -

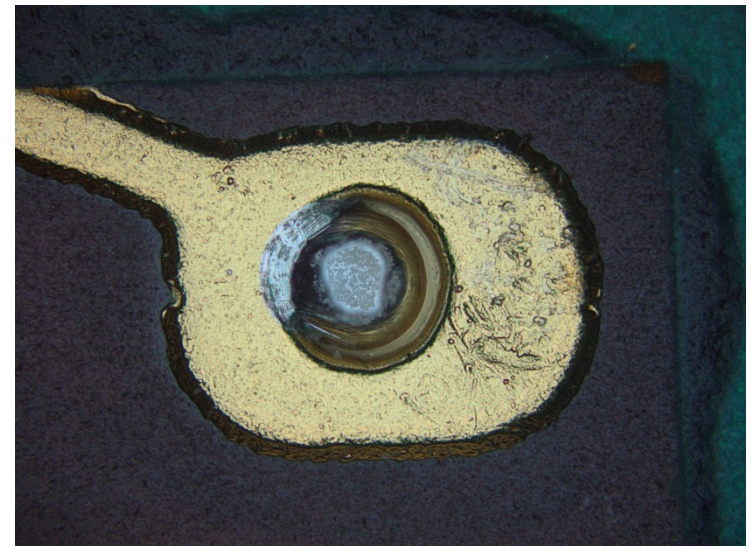
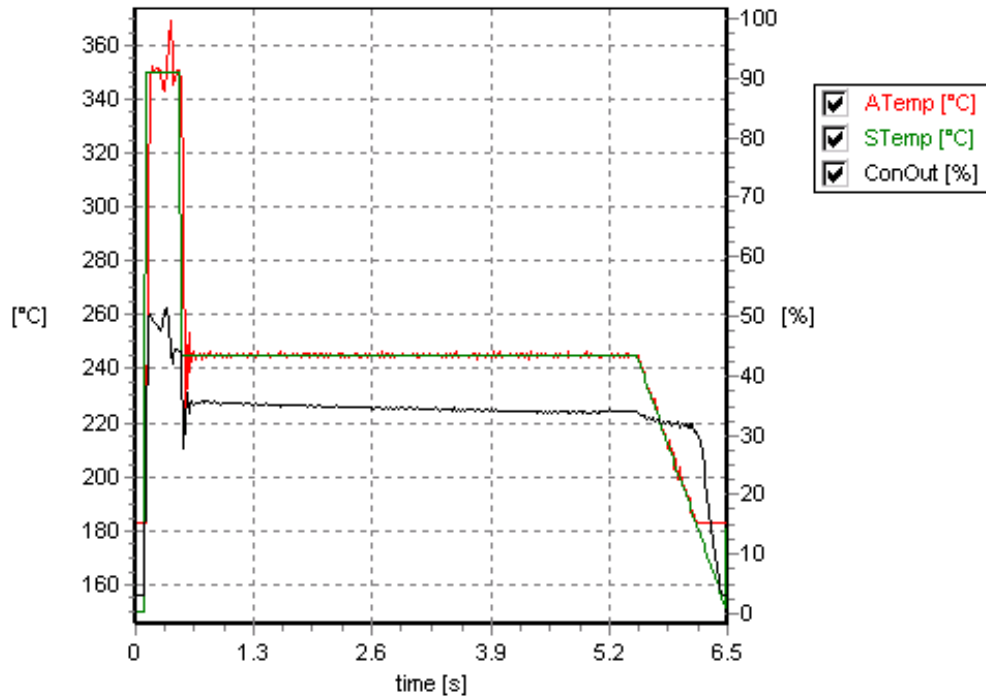


Bad soldering

192.168.0.10: process: 10532 workpiece code: 06102014-SA1

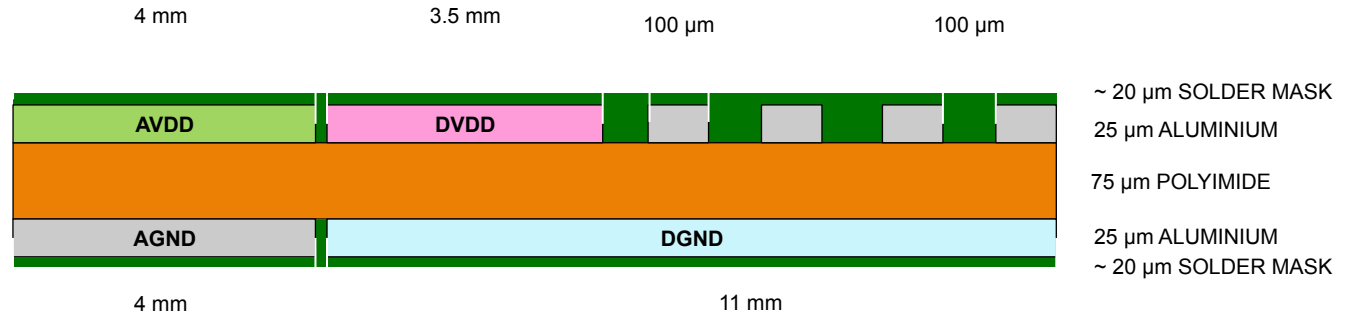
07.10.2014 10:59:08, duration: 6.500 s

PI: 217.28 %s, script: 181, errors: -

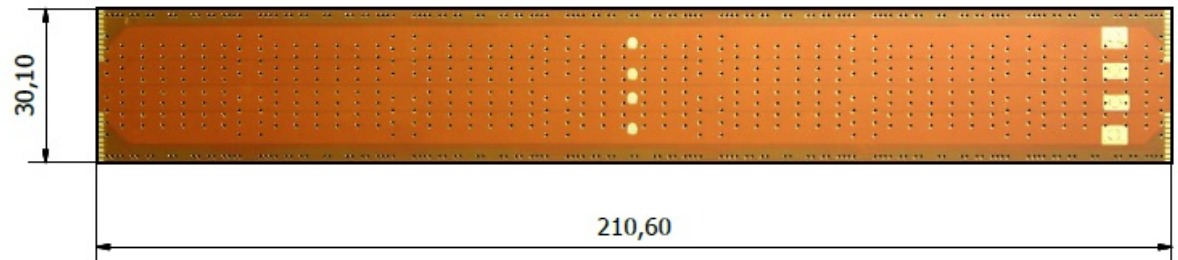
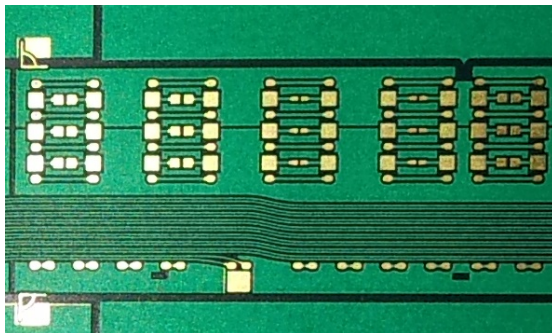
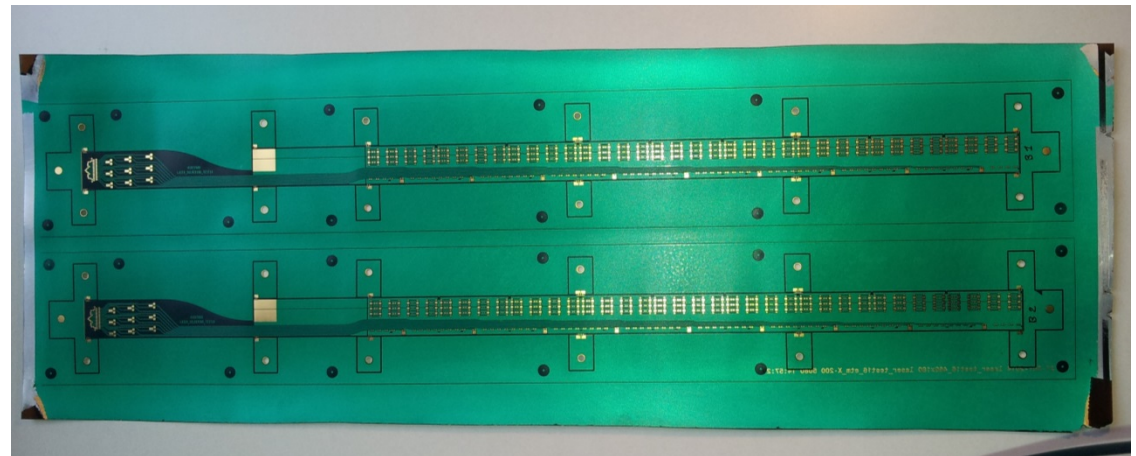


FPC main characteristics

Flexible Printed Circuit



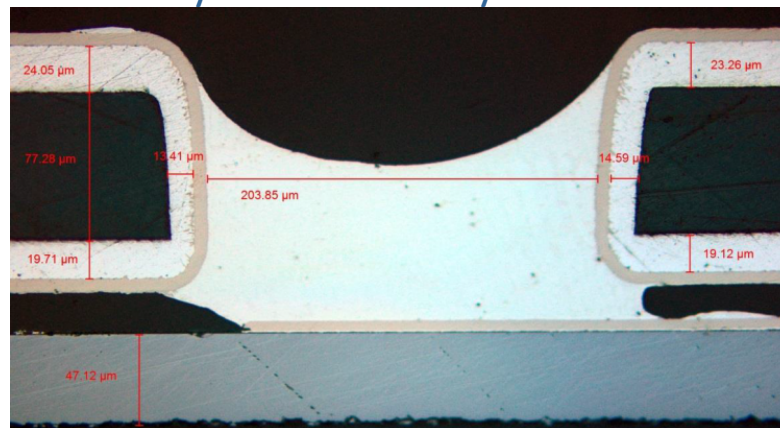
- 2 layouts:
 - IB: 1x9 chips, Al
 - OB: 2x7 chips, Cu
- Metallised vias of 220 μm diameter
- Two openings of 1x1 and 1x0.4 mm², respectively, to “see” chip targets

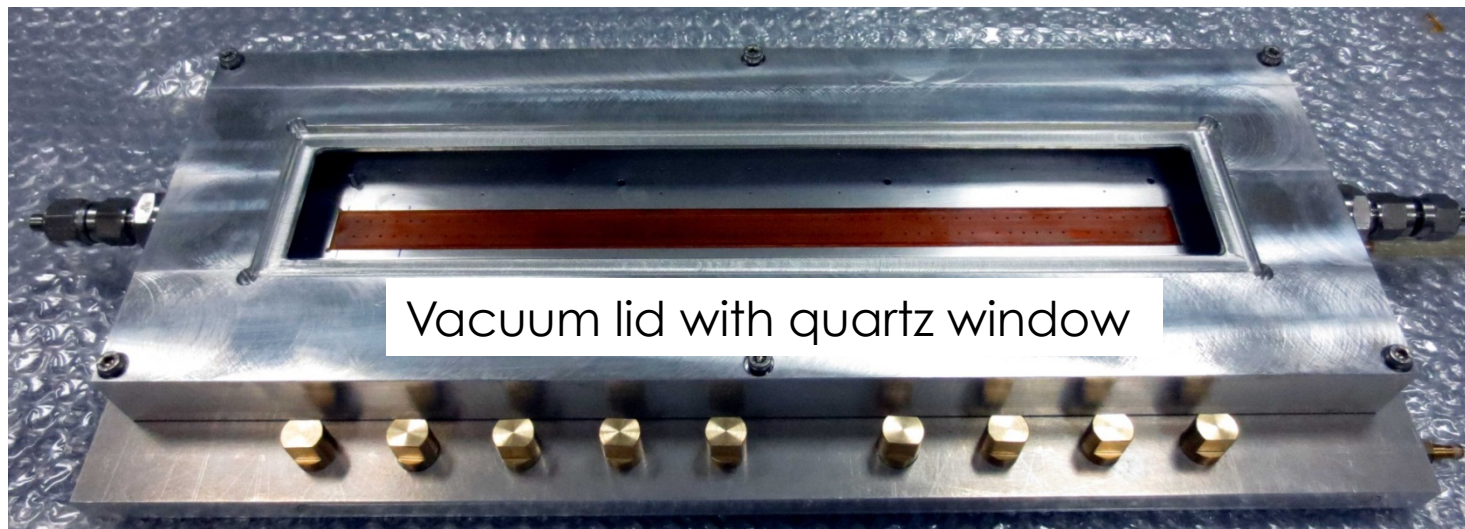
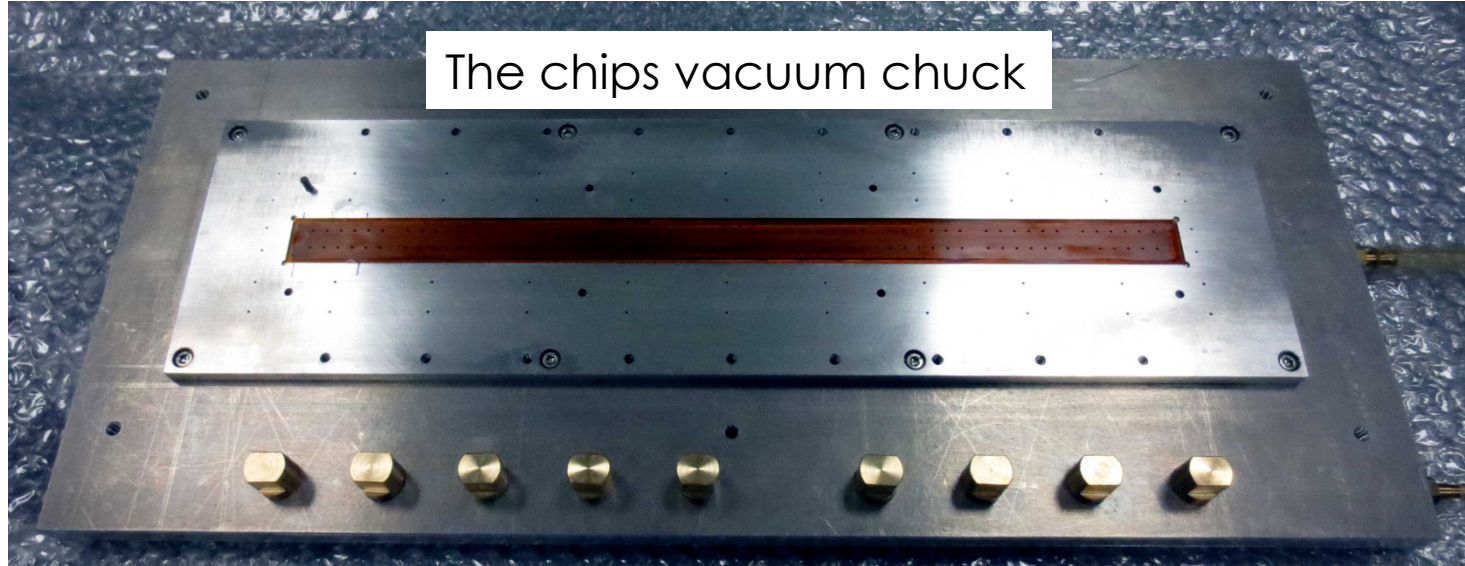


- The amount of HICs and the time available require a distributed production over many sites
- Usage of same procedure and system is necessary to ensure homogenous production
- To simplify/shorten the assembly procedure, chips are placed in nominal positions and FPC is overlapped using nominal pinholes
- Depending on FPC hole position accuracy, possibility of mismatch, i.e. hole is not fully contained in a pad

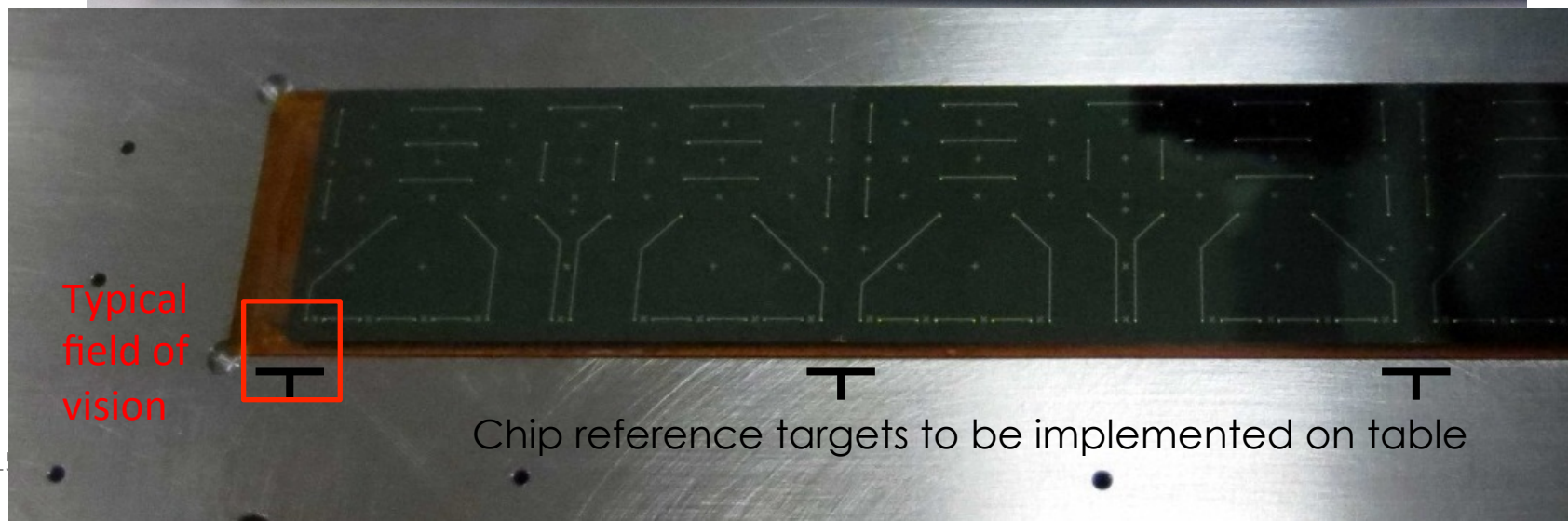
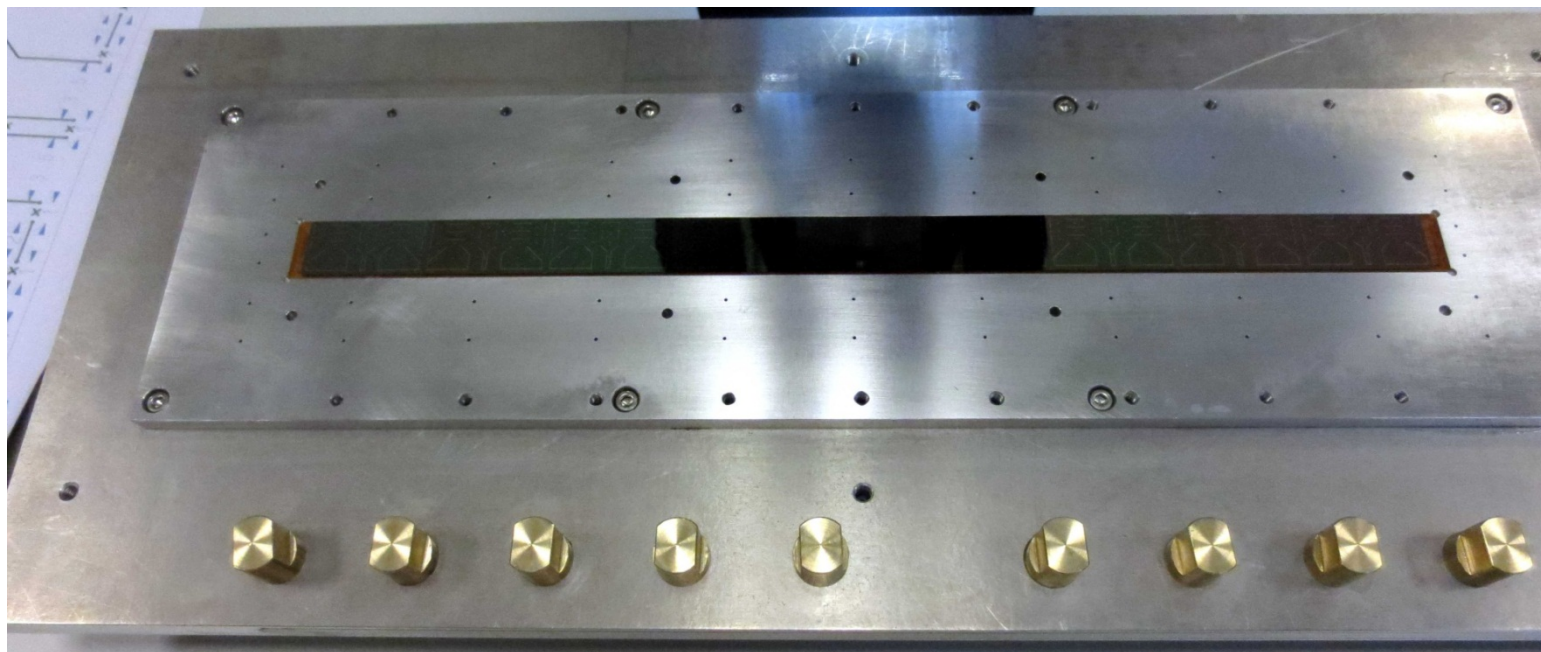


- A few soldering tests with misaligned (up to 20 mm) pads have been performed: not conclusive, need more systematic study





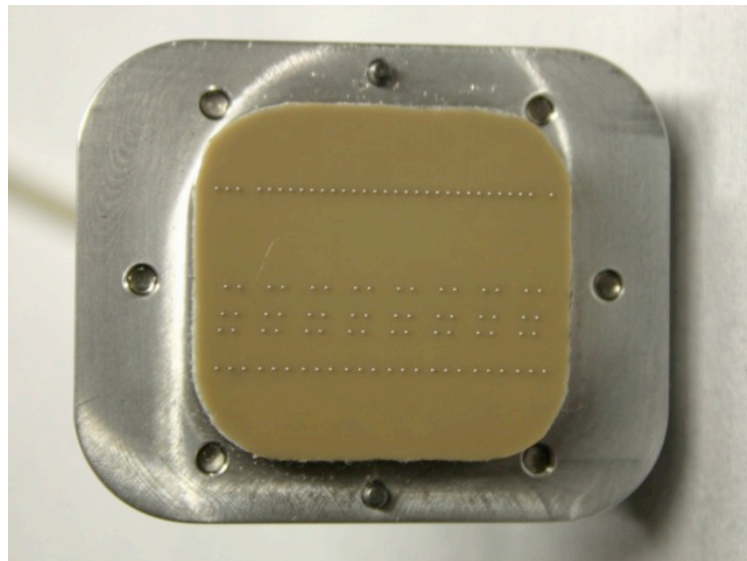
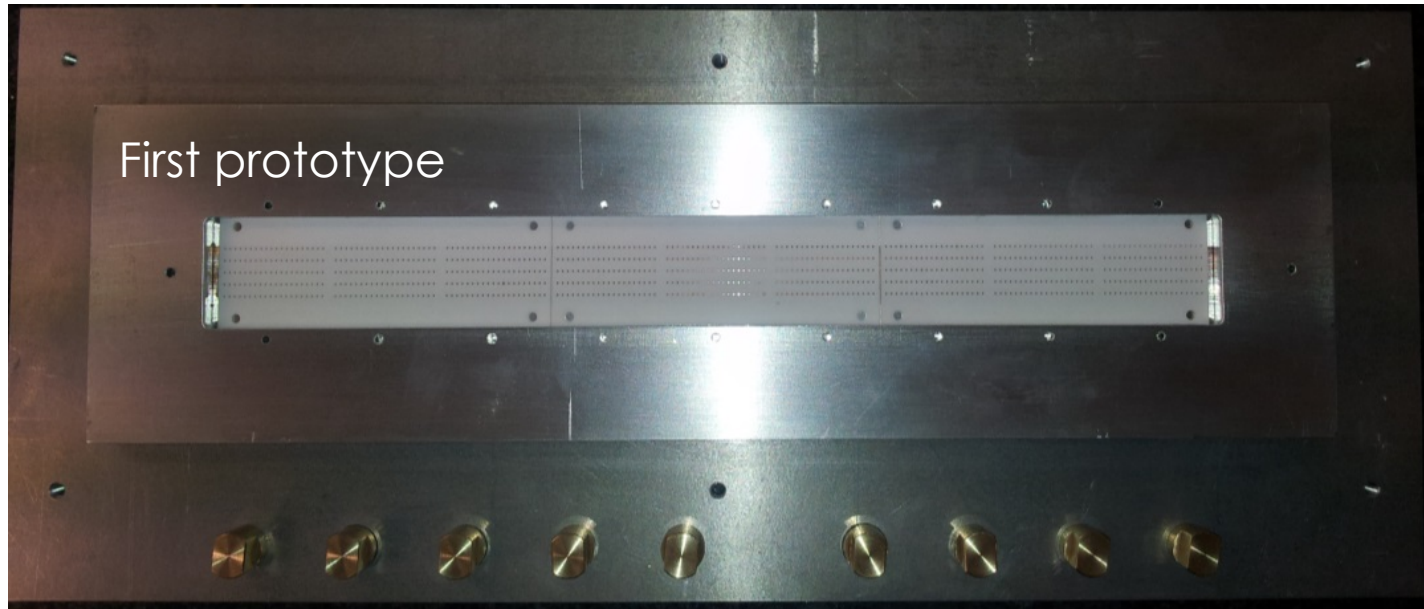
HIC assembly - aligned pad chips



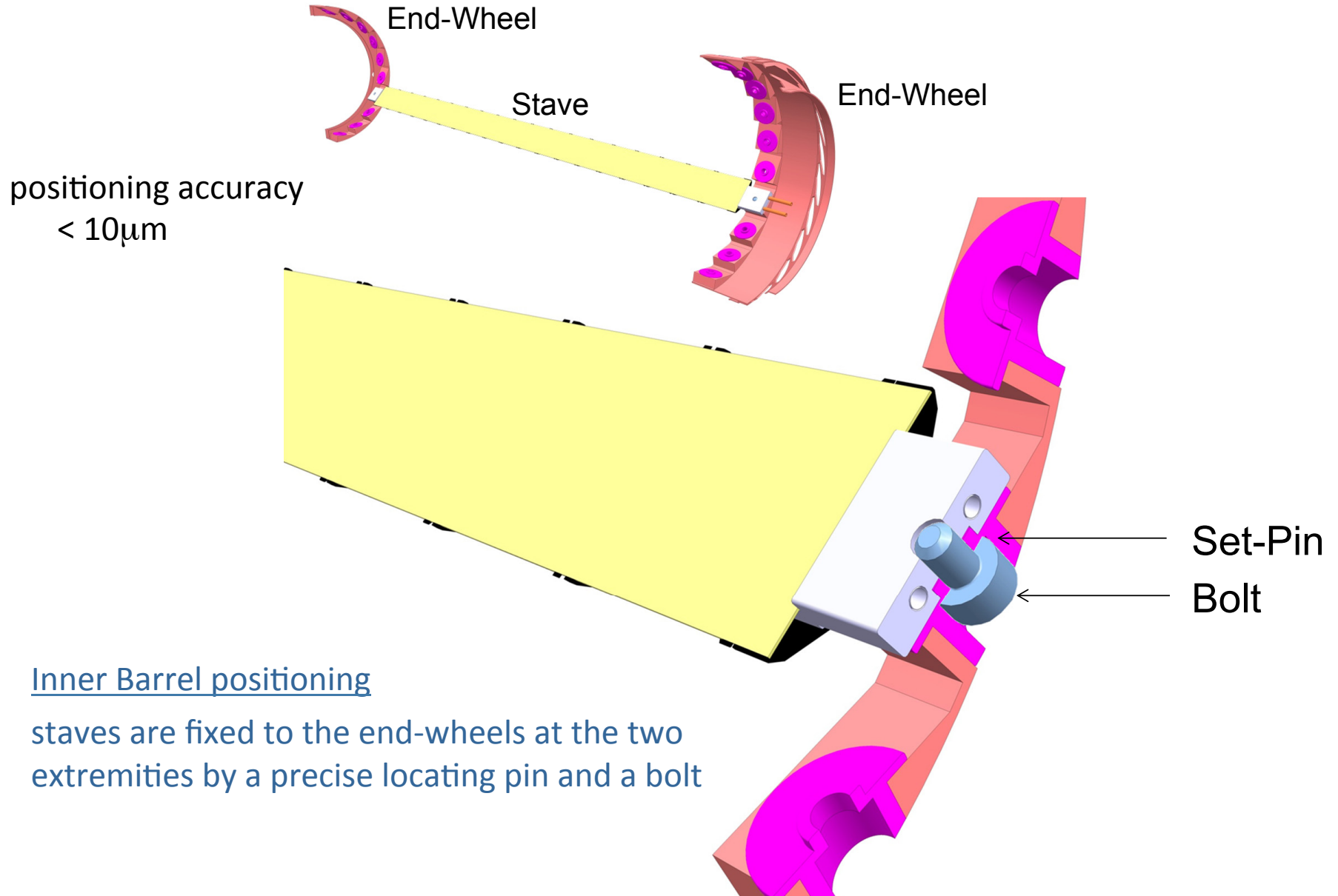
Typical
field of
vision

Chip reference targets to be implemented on table

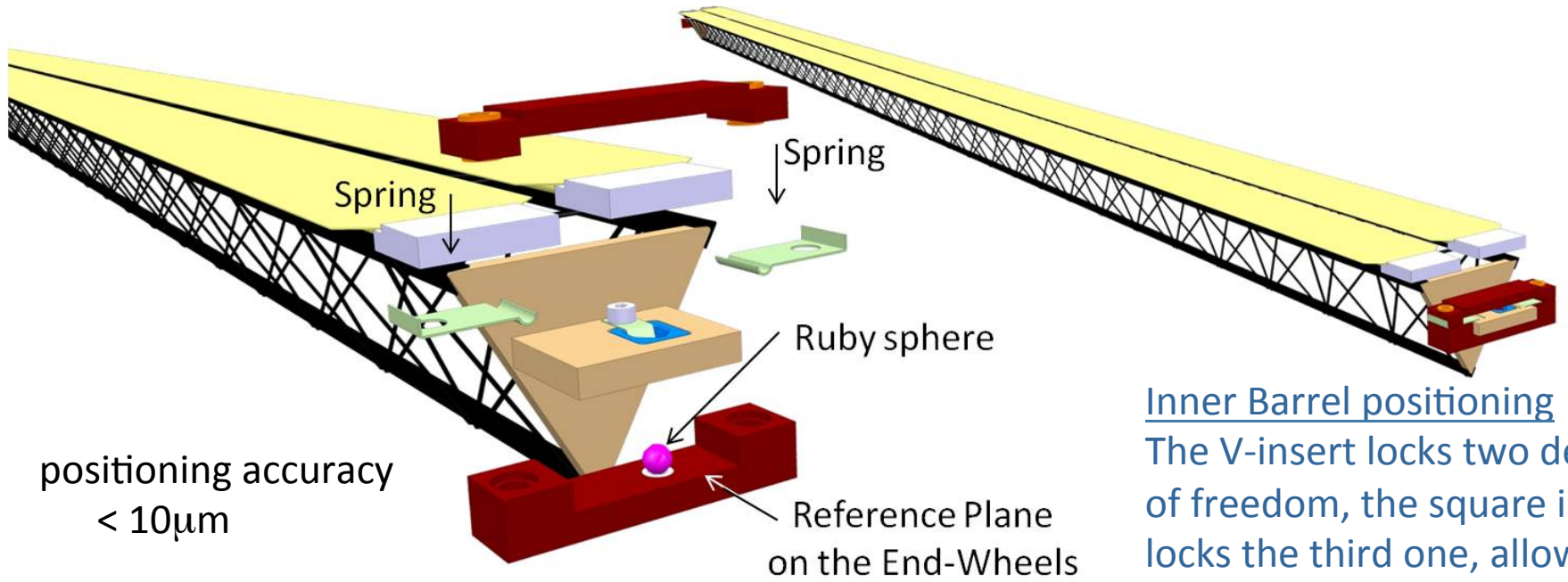
The soldering mask and ball transfer tool



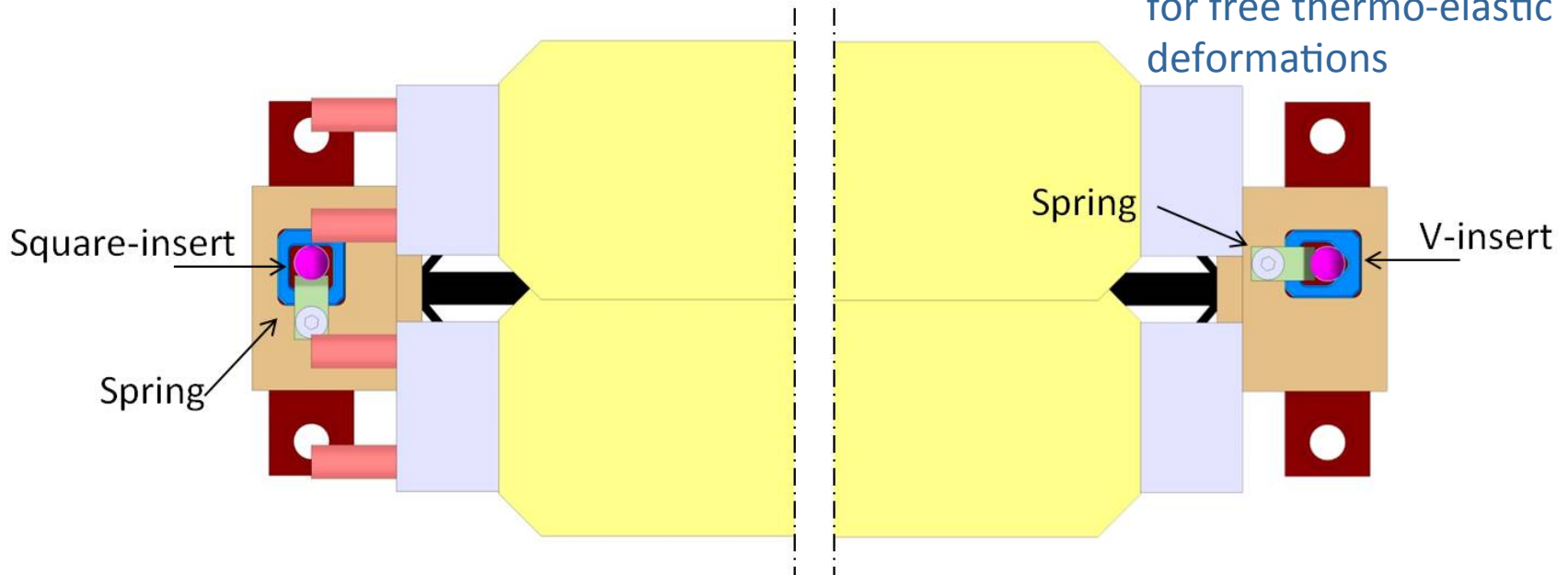
Fixation of IB stave to the End-Wheel



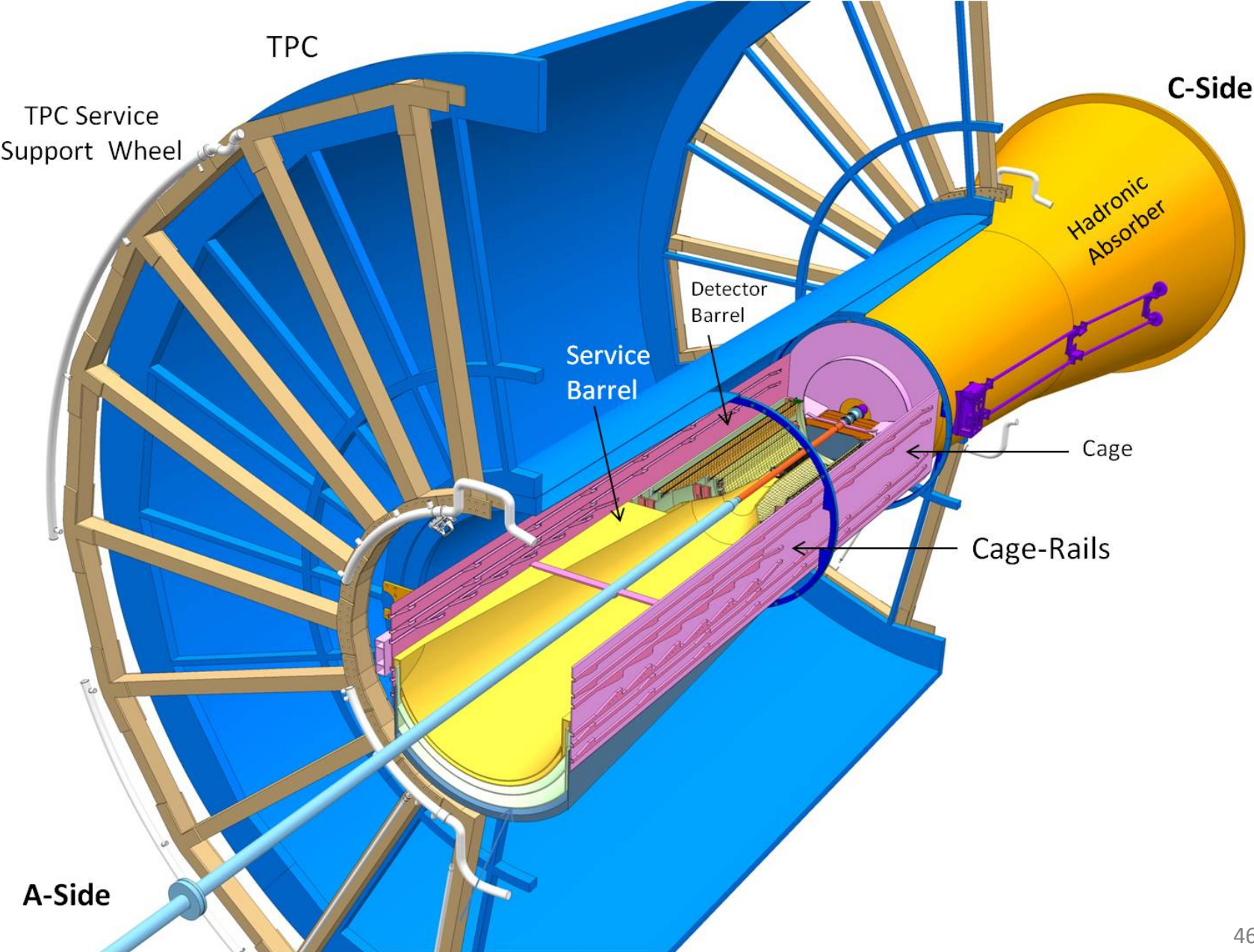
Fixation of OB stave to the End-Wheel



Inner Barrel positioning
The V-insert locks two degree of freedom, the square insert locks the third one, allowing for free thermo-elastic deformations

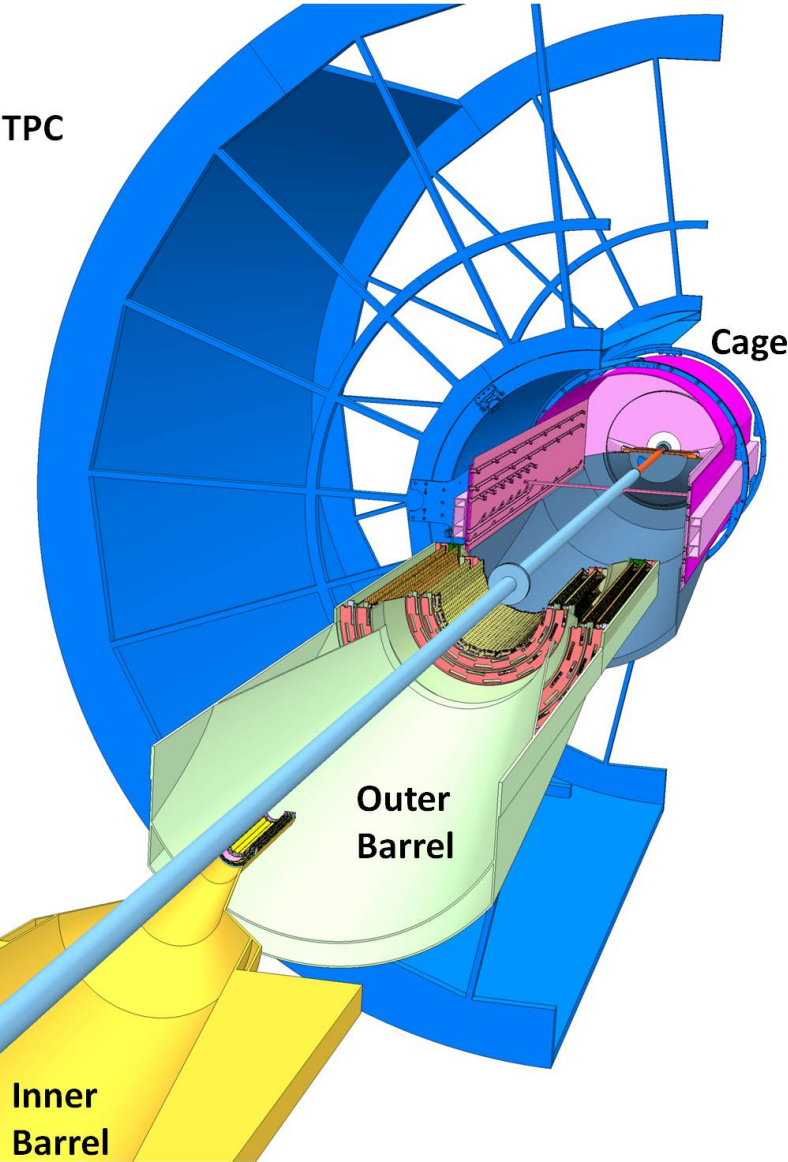
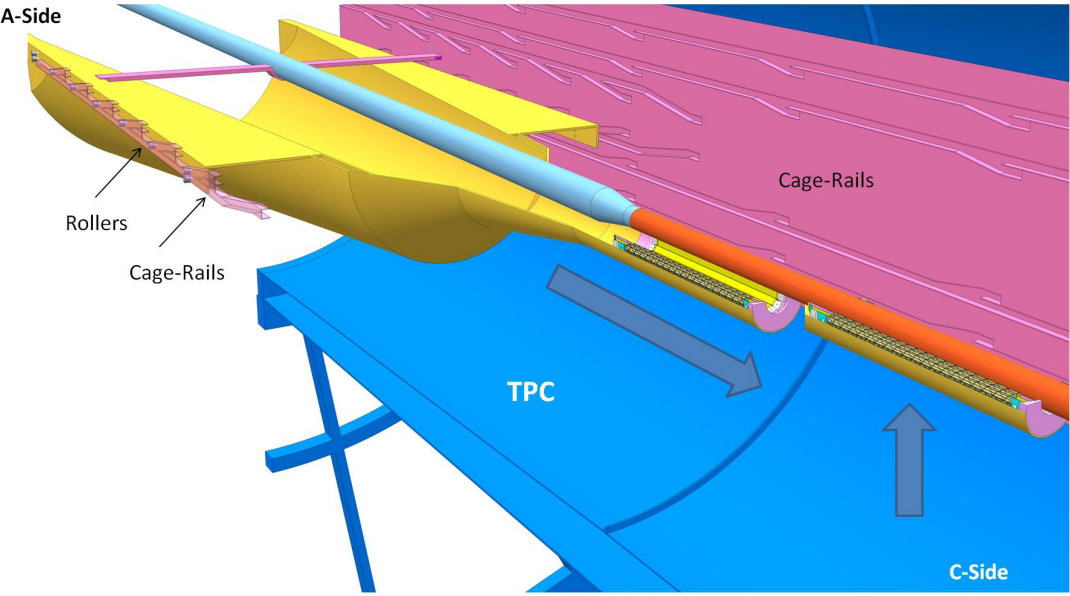


Mechanical Integration



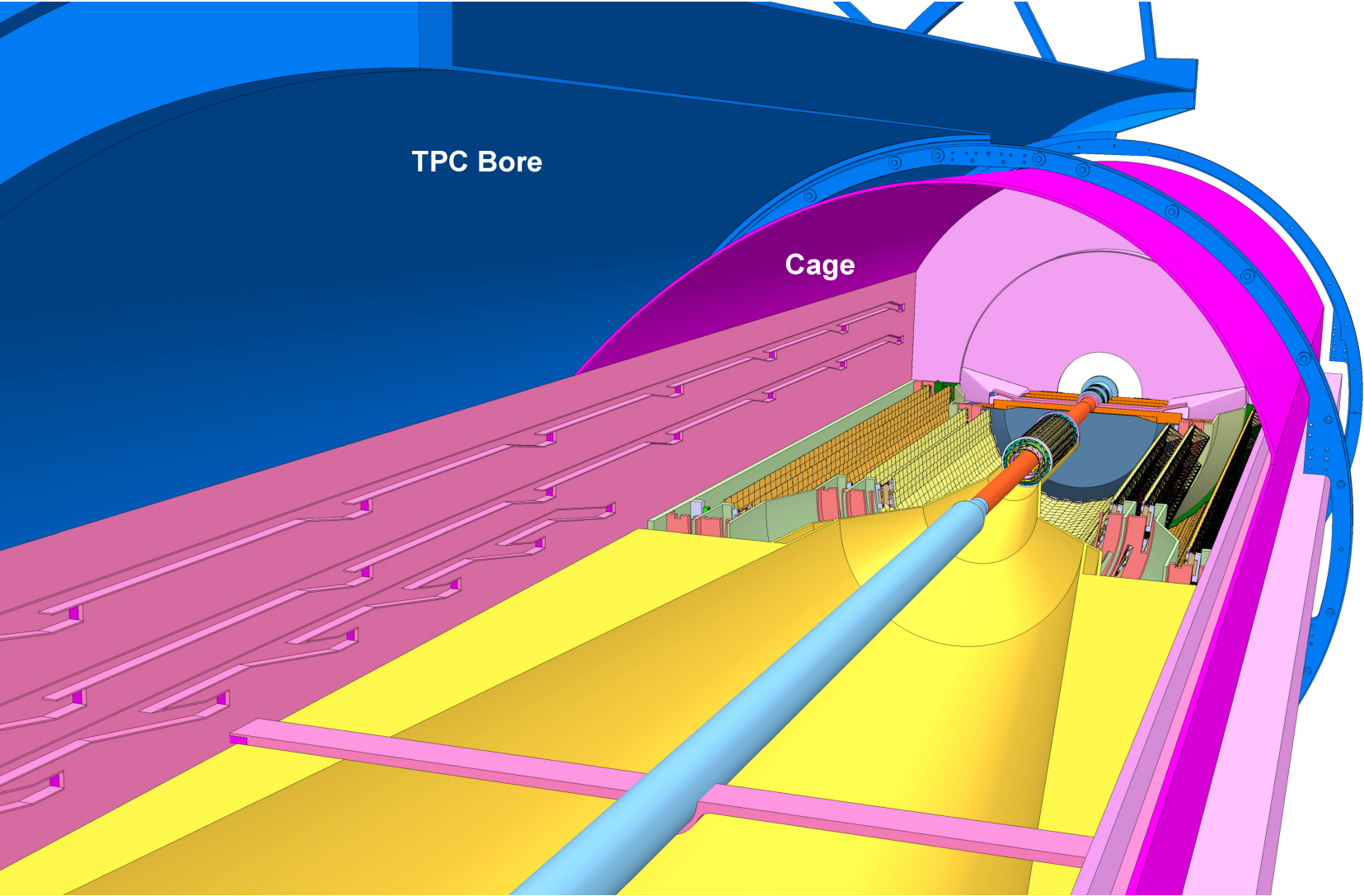
Mechanical Integration

Installation of IB half-barrel

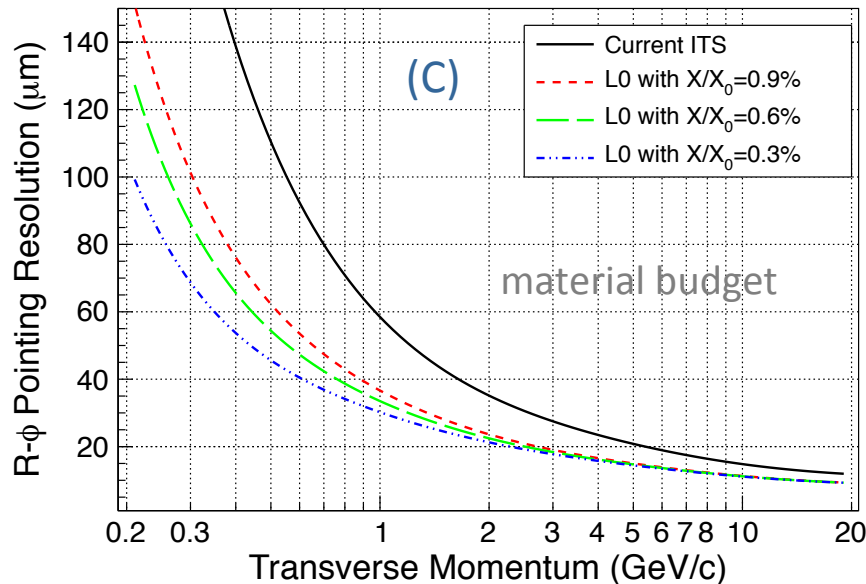
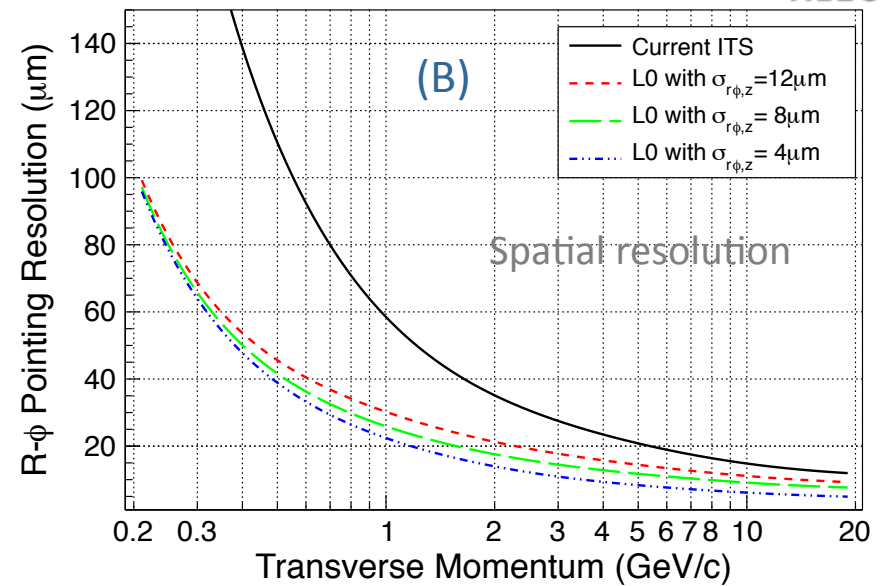
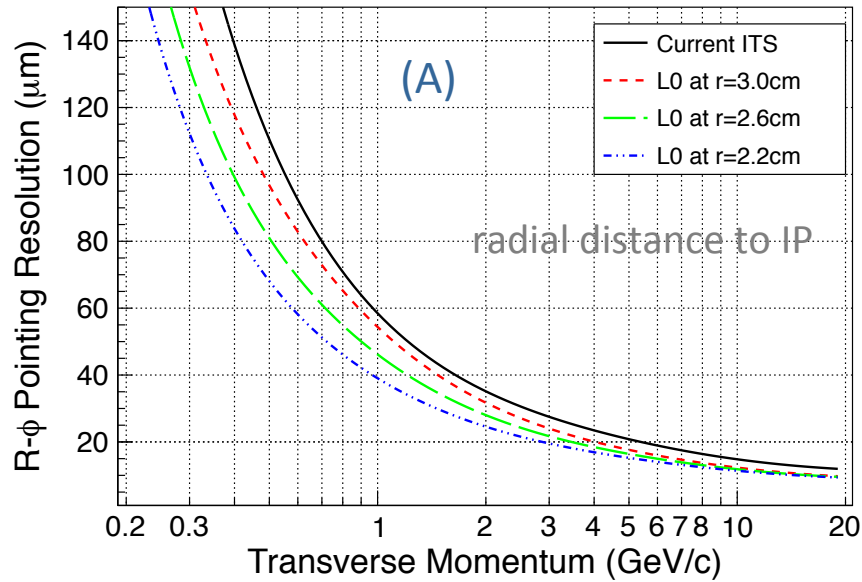


half-barrel are utilizes rollers fixed to the Cylindrical Structural Shell to slide along a rail system supported by the cage

Mechanical Integration



Impact parameter studies (ALICE ITS Upgrade)

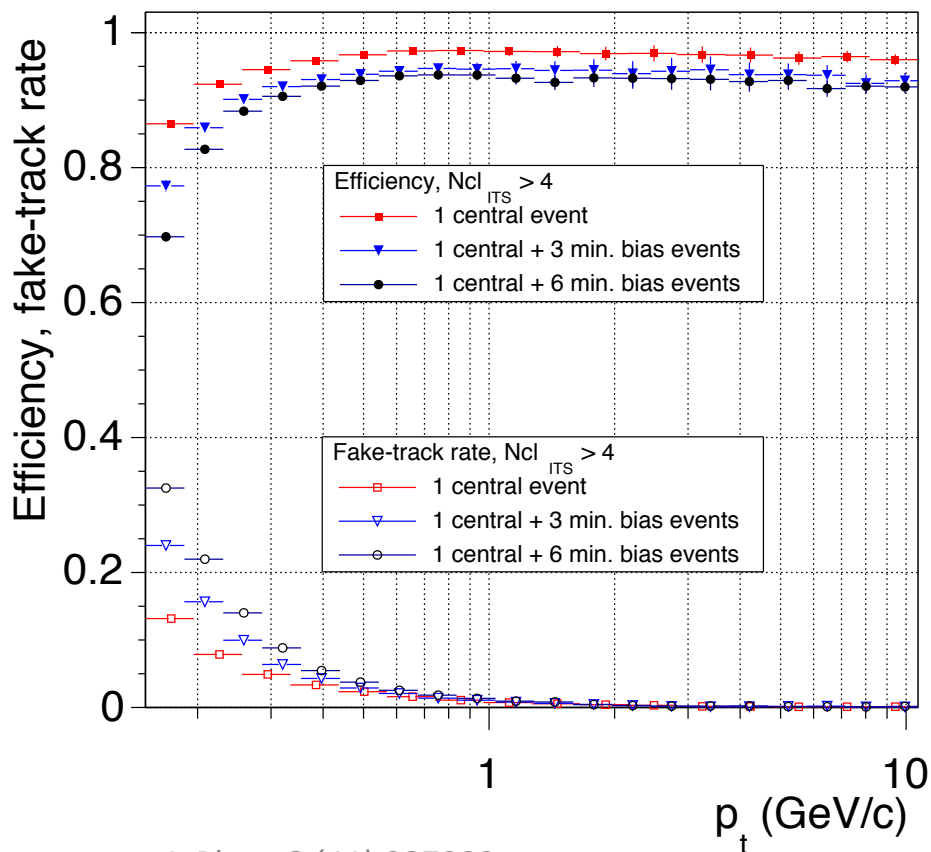


- Current ALICE ITS
 - ✧ radial position of first layer: 39mm
 - ✧ x/X_0 : 1.14% per layer
 - ✧ spatial resolution (r-phi): 12 μm
- A) current ITS + L0: $x/X_0 = 0.3\%$, res.=4 μm ;
- B) current ITS + L0: $r = 22\text{mm}$, $x/X_0 = 0.3\%$;
- C) current ITS + L0: $r = 22\text{mm}$, $x/X_0 = 0.3\%$;

ALICE ITS Upgrade CDR, CERN-LHCC-2012-12

Performance of new ITS (MC simulations)

Matching efficiency between the tracks reconstructed in the upgraded ITS and TPC for different values of event pile-up



J. Phys. G (41) 087002

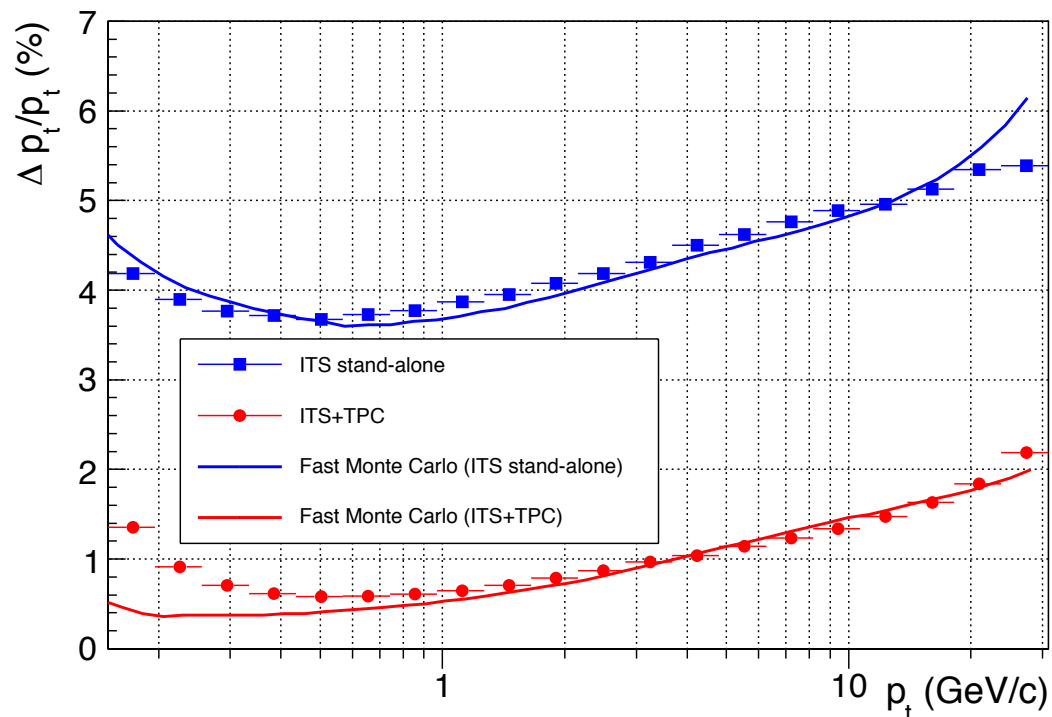
The average event pile-up depends on the interaction rate and detector integration time

interaction rate 50 kHz
integration time: 4 – 30 μ s

For 30 μ s integration time (worst case design):

$\langle \text{pile-up} \rangle = 1 \text{ central} + 1.5 \text{ min. bias}$

MOMENTUM RESOLUTION

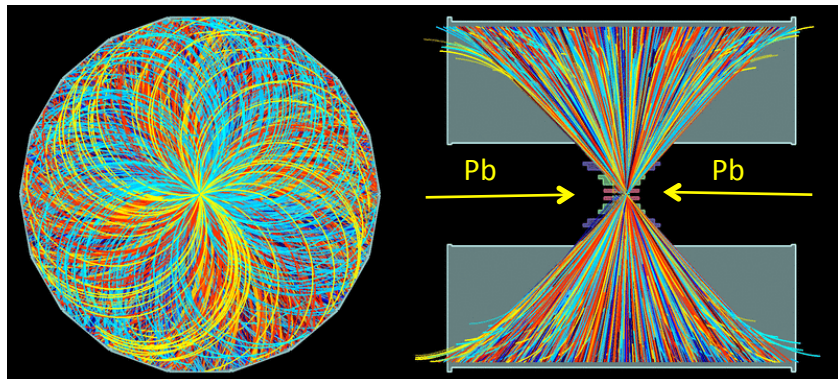


J. Phys. G (41) 087002

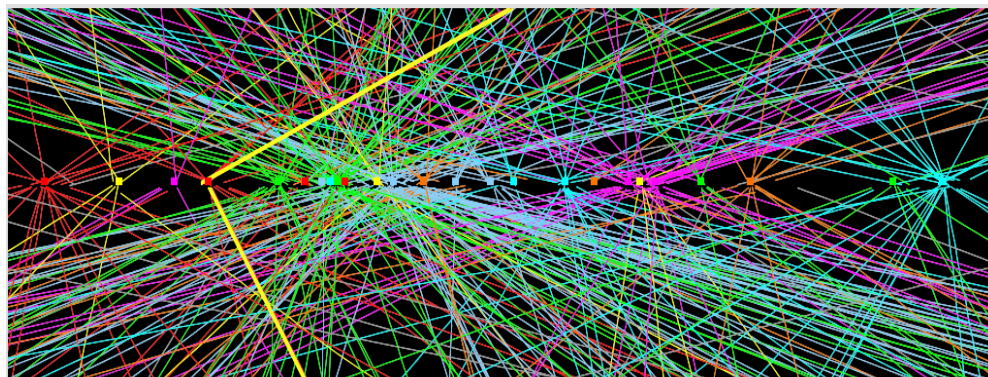
Transverse momentum resolution as function of p_T for primary charged pions for the upgraded ITS and current ITS. The results are shown for ITS standalone and ITS-TPC combined tracking.

Why use Silicon Pixels in HEP experiments

Silicon Pixel Detectors are high granularity detectors, which provide unambiguous and precise hit information in a harsh environment close to the interaction point



LHC Pb-Pb collision (ALICE, Sep 2011)

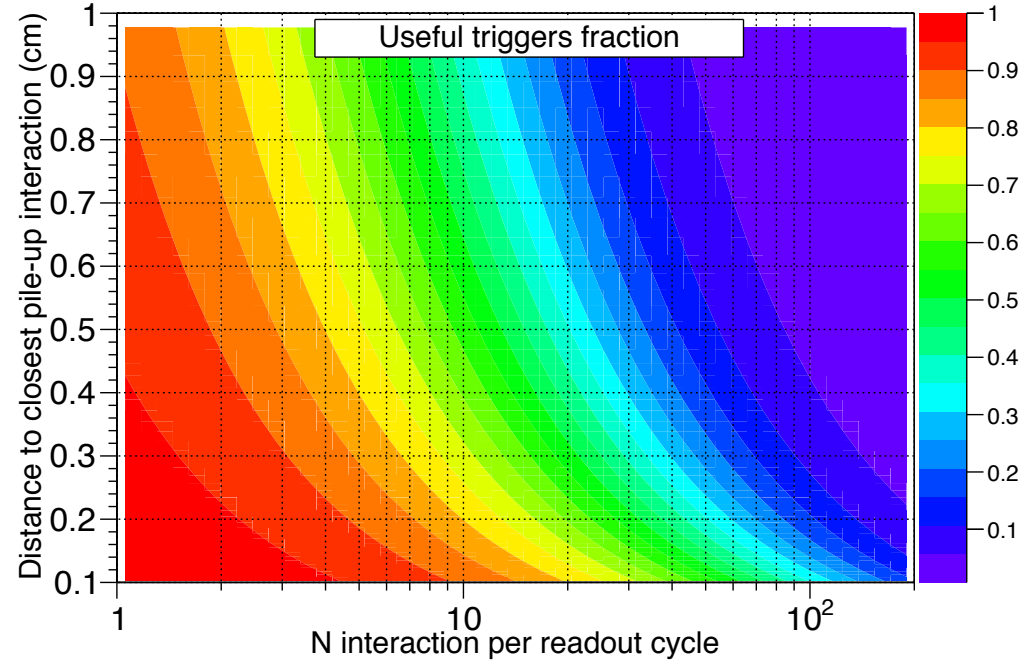
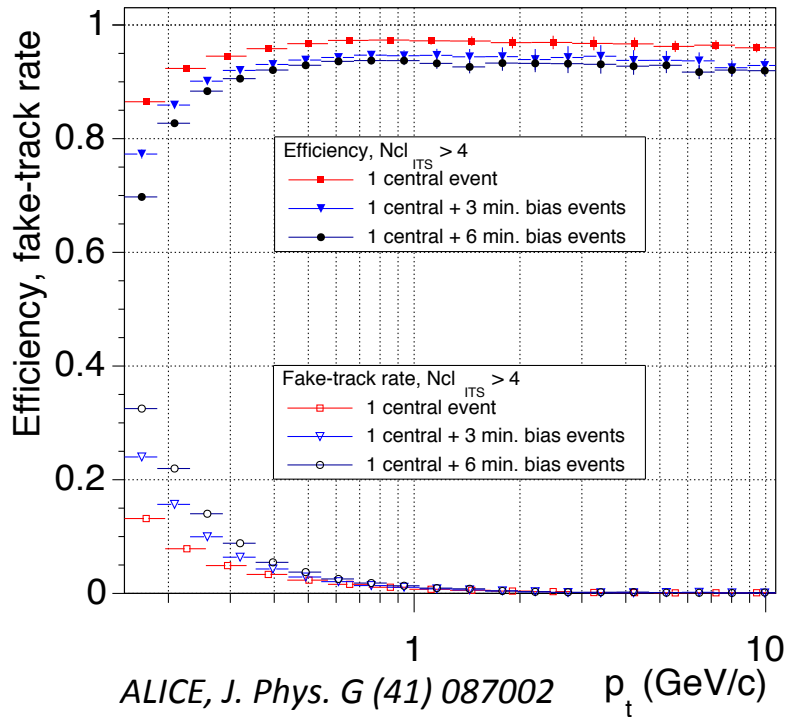


LHC pp collisions: a candidate Z boson event in the dimuon decay with 25 reconstructed vertices. (ATLAS, April 2012)

- Position resolution down to few microns
- Unambiguous hit information in high track density region
- **High resolution** for determination primary and secondary vertex
- **Fast readout**
- High level of **radiation hardness**

How integration time and pile-up affect performance

ALICE ITS Upgrade



At 50 kHz Pb-Pb interaction rate

$\langle \text{pile-up} \rangle$ @ 20 μs integration time: 1 central + 1 minimum bias

At 200 kHz pp interaction rate

$\langle \text{pile-up} \rangle$ @ 20 μs integration time: 5 interaction

Measurement of the Lc

